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REFERRAL MANAGEMENT METHOD, APPARATUS AND SYSTEM**CROSS REFERENCES TO RELATED APPLICATIONS**

This application claims priority from United States Provisional Application No. 60/556,379 filed March 26, 2004, incorporated herein by reference.

BACKGROUND OF THE INVENTION**1. Field of Invention**

This invention relates to information communication and management, and more particularly, to systems, methods, apparatuses, user interfaces, computer-readable data structures, media and signals, for communicating and managing information associated with referrals between referring and referee parties.

2. Description of Related Art

In many fields, a first party with a client having a problem or need, may be unable to fully service the problem or need. To ensure that the client's problem is fully serviced, the first party may seek assistance from a second party better able to service the problem. Seeking assistance may involve the first party identifying a suitable second party having expertise in addressing the problem, and communicating all the necessary information to the second party, so that the second party may apply its expertise and judgment to address the problem of the first party's client. In addition, the first party may wish to refer the client to the second party for an appointment so that the second party may directly investigate and address the problem, and/or provide information back to the first party to enable the first party to better address the problem. This process, known as a "referral", involves exchanging information regarding the client and the client's problem between the first (referring) party and the second (consulting) party, and may also involve the first (referring) party arranging an appointment between the client and the second (consulting) party. In this process, the first party is an

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originator or “referrer” of the referral, and the second party is a recipient or “referee” of the referral.

5 As will be appreciated, referral processes in many fields involve significant difficulties, for example, in locating a suitable referee for a referral, in tracking relevant information pertaining to the referral (including information about the problem or need at issue), in communicating or exchanging this information between all the relevant parties, and in arranging appointments and/or other follow-up between the referrer, referee and the referral subject (i.e., the client).
10 Moreover, all of these aspects of a referral must be managed in a manner that is appropriate to the nature of the problem or need at issue. In addition, a detailed and accurate record of the progress and results of the referral may need to be accumulated, shared between various parties, and ultimately archived.

15 In the field of medicine, such referral-related difficulties are especially acute. A referral that is sent from a referring physician or medical doctor (M.D.) to a consulting physician or medical doctor (M.D.) in respect of a patient's medical condition, is typically manually arranged by medical office assistants (MOA's)
20 associated with the physicians, who exchange telephone calls, paper-based forms and/or letters in order to arrange the referral between the physicians. In addition to being inefficient, such an approach to arranging referrals is highly vulnerable to error. It is not uncommon for inappropriate referrals to be sent to a consulting physician, who may eventually refuse the referral or re-refer the patient to a more appropriate consulting physician, thereby wasting both time and money, and possibly inconveniencing or endangering the patient.
25 Even when an appropriate referral is made, the referral may be inadvertently lost or ignored by the consulting physician without any notice of this to the referring physician, who may (falsely) believe that the consulting physician is proceeding apace with the referral. In some cases, it may be discovered—too late—that a sent referral omits critical patient information necessary for the consulting physician to handle the referral (e.g., specific medical test results).
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It is well known that, in many respects, current approaches to managing medical referrals are notoriously slow, error-prone, and hence expensive.

Although the prior art has disclosed referral-support systems for electronically transmitting referral information relating to a referral from a referrer to a referee, a number of shortcomings are associated with existing solutions. Many of these systems rely on ordinary email messages to send the referral information to the referee. Unfortunately, systems depending on ordinary email messages may be relatively insecure and vulnerable to viruses and spam, for example. Furthermore, in an email-based referral system, a referrer no longer has access to a email once it has been sent, and therefore is unable to view or modify sent referral information. Of course, the referrer can save a local copy of the sent email in order to facilitate local viewing of sent referral information. However, saving a local copy of the email does not enable the referrer to modify or update any sent referral information *ex post facto*. Furthermore, if upon receiving the sent referral information the referee modifies or updates any of it, such changes or updates will not be reflected in the referrer's local copy of the sent referral information, since the referrer and referee do not share access to the same referral information. Thus, many email-based systems fail to facilitate systematic and ongoing sharing of referral information between a referrer and referee.

SUMMARY OF THE INVENTION

The present invention addresses these and other problems relating to referral management, and may be advantageously applied in many fields including the field of medicine.

Generally, there is provided a referral management system having a secure client-server network architecture, comprising a server communicating with a plurality of client computers, to support referral-related communications from a plurality of referrers to a plurality of referees at respective client computers. A referral from a referrer to a referee is represented in a database by a collection

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of linked information units. Each collection is accessible by both its respective referrer and referee in accordance with a prescribed workflow. Advantageously, within limits imposed by the prescribed workflow, parties to a referral can view and modify the current content or status of the collection representing the referral, and thus, ongoing interactive referral-related communication between referrers and referees is integrally supported. Messaging features may also be supported.

Furthermore, the system described provides for the flexible filtering and/or sorting of electronic referrals and messages by a variety of criteria. Electronic referrals may be managed in response to various referral properties, such as referral status (e.g., unread/read, appointment made/pending, added to wait list, cancelled or complete), referral priority (e.g., routine and urgent), and modifications made to the referral (e.g., changes of appointment, changes of wait list status, cancellation and completion), for example. When the referrer or referee changes the properties of an electronic referral, the other party can use the aforesaid filtering features to identify that referral as having been updated.

Moreover, complementary functions for affecting referral properties may be provided to the referrer and referee, thereby effecting a variety of request/response mechanisms between the referrer and referee. For example, the referrer can modify the collection of information units representing the referral to indicate a waitlist request. The referee can then detect the waitlist request by filtering the database for collections that include a waitlist request, for example. The referee can then modify the collection to indicate that the waitlist request was accepted. Similarly, the referee can detect the acceptance of the waitlist request by filtering the database appropriately. Many other useful interactions are possible between the referrer and referee by use of this system.

Generally, significant system events and transactions pertaining to a referral are permanently recorded in association with the referral, and cause notifications to issue to one or more parties to the referral. For example, if one party creates a

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new referral, views a new referral, or modifies an existing referral, the other party to the referral may be notified of this event or transaction. Advantageously, detailed and reliable records of referrals are automatically generated by the system for medical and legal purposes, and parties to a referral are automatically apprised of referral progress at significant milestones.

Moreover, the system may include provisions to ensure that a referral includes the information necessary for the referee to handle the referral to reduce incomplete referrals, for example. Other provisions may optionally verify that a referral meets the acceptance criteria for a particular referee to whom the referral is being sent to reduce unwanted or inappropriate referrals, for example.

In accordance with one aspect of the invention, there is provided a method of managing referrals from a referrer to a referee. The method involves: in response to a first set of signals received from a referrer computer, storing, in a database, information pertaining to a referral from the referrer to the referee, the information being stored as a collection of linked information units, the information units including a referrer identifier identifying the referrer as originator of the information and a referee identifier identifying the referee as intended recipient of the information, the collection representing the referral and being accessible by the referrer computer and a referee computer; in response to a second set of signals received from one of the referrer and referee computers, identifying, in the database, collections of information units that satisfy a criterion, and displaying identifications, at the one of the referrer and referee computers, corresponding to respective collections of information units satisfying the criterion; in response to a third set of signals received from the one of the referrer and referee computers, causing at least one information unit in a collection corresponding to a displayed identification, to be displayed at the one of the referrer and referee computers; and in response to a fourth set of signals received from the one of the referrer and referee computers, causing at least one information unit in the collection corresponding to a displayed identification, to be modified.

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Storing may involve storing a referral status flag, representing a status of the referral, in an information unit of the collection, and setting the referral status flag to a first value to indicate that the collection has not yet been viewed by the referee. The method may involve setting the referral status flag to a second value if at least one information unit of the collection has been displayed at the referee computer.

The method may further involve (a) facilitating uploading of a file from one of the referrer and referee computers in response to upload signals received therefrom; (b) storing the file in association with a collection associated with both the referrer and the referee; and (c) facilitating downloading of the file to one of the referrer and referee computers in response to download signals received therefrom.

Identifying collections may involve identifying collections having a referral status flag satisfying a referral status criterion.

Identifying collections may further involve establishing the criterion based on at least one of the referrer identifier and the referee identifier. Establishing the criterion may involve setting the criterion to a predefined criterion selected from a set of predefined criteria, in response to a selection signal, in the second set of signals, selecting the predefined criterion, each predefined criterion in the set being based on one of the referrer identifier and the referee identifier.

Identifying collections may further involve identifying collections including at least one of the referrer identifier and the referee identifier.

Causing at least one information unit to be modified may involve setting a modification flag in an information unit associated with the collection corresponding to a displayed identification. Setting the modification flag may

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involve storing a modification flag value in the modification flag to represent a modification command received in the fourth set of signals. The method may further involve, if the collection corresponding to a displayed identification is caused to be displayed at one of the referrer and referee computers, resetting the modification flag.

Identifying collections may further involve identifying collections having a modification flag satisfying a modification criterion so that identifications corresponding to collections having information units that have been modified in accordance with the modification criterion, are displayed.

The method may involve presenting, at at least one of the referrer and the referee computers, a representation of the modification flag.

Displaying identifications may involve listing labels respectively associated with the collections. Displaying identifications may further involve using different display parameters for different labels to distinguish at least one label from another, and the method may further involve associating a set of display parameters associated with a selection criterion with labels of collections that satisfy the selection criterion.

Storing may involve storing information including at least one of a client name or identifier, a client date of birth, a need, an urgency status associated with the need, a referrer name, and a referee name.

Storing may further involve producing a class identifier classifying the referral into a pre-defined classification, in response to the first set of signals, and storing the class identifier in an information unit associated with the collection. The method may involve causing at least one question to be presented to an operator of the referrer computer, receiving a response to the at least one question, and producing the class identifier in response to the response to the at least one question.

Identifying collections may include identifying collections that have class identifiers satisfying a criterion. The method may involve causing at least one question to be presented to an operator of the referrer computer and receiving a response to the at least one question, and storing the response to the at least one question in information units associated with the collection. A notification may be caused to be transmitted to the referrer computer when the response to the at least one question does not satisfy a validation criterion.

Displaying identifications may further involve displaying identifications in an order dependent upon at least one information unit in each collection corresponding to a displayed identification.

An event log may be associated with the collection and an entry may be added to the event log in response to occurrence of an event involving modification of at least one information unit of the collection in response to the fourth set of signals. Adding an entry to the event log may involve associating a chronological order indicator and an identification of the event with each other. The identification of the event may include an identification of the referrer or referee computer from which the fourth set of signals was received. The method may involve facilitating viewing of the event log from at least one of the referrer and the referee computers.

The method may involve, in response to receiving the fourth set of signals from the one of the referrer and referee computers, causing a message to be sent to the other of the one of the referrer and the referee computers.

The method may involve transmitting information, including information units from the database and including computer-readable codes, to one of the referrer and referee computers, the computer-readable codes being operable to cause a processor circuit at the one of the referrer and referee computers,

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- (i) to cause at least some of the transmitted information to be displayed at the one of the referrer and referee computers; and
- (ii) to facilitate generation, in response to user input at the one of the referrer and referee computers, of communication signals for transmission from the one of the referrer and referee computers, the communication signals including at least one of the first, second, third and fourth sets of signals.

In accordance with another aspect of the invention, the aforesaid computer-readable codes may be provided. The computer-readable codes may be interpretable by a markup language interpreter.

The third set of signals may include a selection signal indicating selection of the collection corresponding to a displayed indication. Moreover, the third and fourth sets of signals may be the same.

Causing at least one information unit in the collection corresponding to a displayed indication to be modified may involve limiting which information units may be modified according to whether the fourth set of signals are received from the referrer computer or the referee computer.

The method may involve identifying a computer as being associated with the referrer or the referee, in response to a respective referrer or referee key associated with the referrer or referee respectively, received from the computer.

The method may involve linking the identifications with a display interface operable to cause information units in a corresponding collection to be displayed.

In accordance with other aspects of the invention, there may be provided a signal encoded with computer-readable codes for directing a processor circuit

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to perform the above method, and/or a computer-readable medium comprising codes for directing a processor circuit to perform the above method.

5 In accordance with another aspect of the invention, there is provided a computer-generated user interface soliciting responses from a user that are provided to a computer having a memory with computer-executable codes operable to cause the computer to perform the aforesaid method, in response to the responses.

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In accordance with another aspect of the invention, there is provided an apparatus to facilitate management of referrals from a referrer to a referee. The apparatus includes: storing provisions, responsive to a first set of signals received from a referrer computer, for storing, in a database, information
15 pertaining to a referral from the referrer to the referee, the information being stored as a collection of linked information units, the information units including a referrer identifier identifying the referrer as originator of the information and a referee identifier identifying the referee as intended recipient of the information, the collection representing the referral and being
20 accessible by the referrer computer and a referee computer; collection identification provisions, responsive to a second set of signals received from one of the referrer and referee computers, for identifying, in the database, collections of information units that satisfy a criterion, and for causing identifications to be displayed at the one of the referrer and referee
25 computers, the identifications corresponding to respective collections of information units satisfying the criterion; information display provisions, responsive to a third set of signals received from the one of the referrer and referee computers, for causing at least one information unit in a collection corresponding to a displayed identification, to be displayed at the one of the
30 referrer and referee computers; and information modification provisions, responsive to a fourth set of signals received from the one of the referrer and

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referee computers, for causing at least one information unit in the collection corresponding to a displayed identification, to be modified.

5 In accordance with another aspect of the invention, there is provided an apparatus to facilitate management of referrals from a referrer to a referee. The apparatus includes a database interface operable to control a database, the database interface being operable to store, in the database, information from a referrer computer, the information pertaining to a referral from the referrer to the referee, the information being stored as a collection of linked
10 information units, the information units including a referrer identifier identifying the referrer computer as originator of the information and a referee identifier identifying a referee computer as intended recipient of the information, the collection representing the referral. The apparatus further includes a filter operable to cause the database interface to identify, in the database,
15 collections of information units that satisfy a criterion, and to cause identifications to be displayed at one of the referrer and referee computers, the identifications corresponding to respective collections of information units satisfying the criterion. The apparatus further includes a client interface cooperating with the database interface and filter and operable to
20 communicate with and be controlled from the referrer and referee computers, the client interface comprising: a referral creation facility operable to facilitate causing the database interface to store the information as the collection in response to signals received from the referrer computer; an information display facility operable to facilitating viewing, from the one of the referrer and
25 referee computers, of at least one information unit in a collection identified by the filter; and an information modification facility operable to facilitate causing a modification, from the one of the referrer and referee computers, of at least one information unit in a collection identified by the filter, wherein the filter is operable to identify the collection when the collection satisfies the criterion
30 and to cause an identification corresponding to the collection to be displayed at the one of the referrer and referee computers.

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The database interface, the filter and the client interface may be implemented by a processor circuit. The processor circuit may include a processor and memory in communication with the processor, the memory being encoded with codes for directing the processor to effect the database interface, the filter and the client interface.

The codes may include codes for directing the processor to store a referral status flag in an information unit of the collection and to set the referral status flag to a first value to indicate that the collection has not yet been viewed by the referee. The codes may include codes for directing the processor to set the referral status flag to a second value if at least one information unit of the collection has been displayed at the referee computer.

The codes may include codes for directing the processor to identify, in the database, the collections of information units that satisfy the criterion.

The codes may include codes for directing the processor to identify collections having a referral status flag satisfying a referral status criterion.

The codes may include codes for directing the processor to identify collections including at least one of the referrer identifier and the referee identifier.

The client interface may be further operable to cooperate with the database interface to: (a) facilitate uploading a file, into the database, from one of the referrer and referee computers in response to upload signals received therefrom; and (b) facilitate downloading the file, from the database, to one of the referrer and referee computers in response to download signals received therefrom.

The codes may include codes for directing the processor to store a modification flag in an information unit of the collection and to set the modification flag to a first value to indicate that the collection has not yet been

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modified. The codes may include codes for directing the processor to set the modification flag to a second value when the information modification facility is controlled, from the one of the referrer and referee computers, to cause a modification to the collection identified by the filter. The codes may include codes for directing the processor to set the modification flag to a third value when the information display facility is controlled, from the other of the referrer and referee computers, to cause display of the collection identified by the filter. The codes may include codes for directing the processor to store a value in the modification flag, the value representing a modification command received by the information modification facility from the one of the referrer and referee computers.

The codes may include codes for directing the processor to identify collections having a modification flag satisfying a modification criterion so that identifications corresponding to collections having information units that have been modified in accordance with the modification criterion, are displayed.

The codes may include codes for directing the processor to cause a representation of the modification flag to be presented at at least one of the referrer and the referee computers.

The information display facility may cooperate with the filter to cause labels respectively associated with the collections satisfying the criterion to be displayed at the one of the referrer and referee computers using a first set of display parameters. The information display facility may cooperate with the filter to cause labels associated with collections satisfying an additional selection criterion to be displayed at the one of the referrer and referee computers using a second set of display parameters in order to distinguish labels associated with collections which satisfy the additional selection criterion from labels associated with collections that do not.

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The collection may include at least one of a client name or identifier, a client date of birth, a need, an urgency status associated with the need, a referrer name, and a referee name.

5 The referral creation facility may be operable to produce a class identifier classifying the referral into a pre-defined classification in response to receiving the information, the referral creation facility being operable to cause the database interface to store the class identifier in information units associated with the collection.

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The client interface may be operable to cause at least one question to be presented to an operator of the referrer computer, to receive a response to the at least one question, and to cause the database interface to store the response.

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The client interface may be operable to cause a notification to be transmitted to the referrer computer when the response to the at least one question does not satisfy a validation criterion.

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The filter may cause identifications to be displayed in an order dependent upon at least one information unit in each collection corresponding to a displayed identification.

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The database interface may be operable to maintain an event log for each collection and the client interface may be operable to cause the database interface to update the event log to add an entry to the event log in response to occurrence of an event involving the information modification facility being controlled from one of the referrer and referee computers to cause a modification to at least one information unit of the collection, wherein the entry includes at least one of a chronological order indicator, an identification of the event, and an identification of the one of the referrer and referee computers from which the modification was caused.

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The information display facility may be operable to be controlled from the one of the referrer and referee computers to cause display of the event log thereat.

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The information modification facility may respond to receiving the modification command from one of the referrer and referee computers by facilitating sending a message therefrom to the other of the referrer and referee computers.

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The client interface may be operable to transmit information, including information units from the database and including computer-readable codes, to one of the referrer and referee computers, the computer-readable codes being operable to cause a processor circuit at the one of the referrer and referee computers,

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- (i) to cause at least some of the transmitted information to be displayed at the one of the referrer and referee computers; and
- (ii) to facilitate generation, in response to user input at the one of the referrer and referee computers, of communication signals for transmission from the one of the referrer and referee computers to the client interface.

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The client interface may be operable to receive, from the one of the referrer and referee computers, a selection signal indicating selection of the collection identified by the filter, and to cause the information display facility to cause an information unit of the collection identified by the filter to be displayed at the one of the referrer and referee computers in response thereto.

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The apparatus may further include an authentication facility operable to identify a computer from which signals are received as being associated with a user, in response to receiving from the computer a user key associated with a user identifier identifying the user.

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The client interface may be further operable to establish the criterion based on the user identifier.

5 The client interface may be further operable to cause the filter to use, as the criterion, a predefined criterion selected from a set of predefined criteria, in response to a selection signal received from the computer, indicating the predefined criterion.

10 In accordance with another aspect of the invention, there is provided a data structure facilitating the communication of information pertaining to a referral from a referrer to a referee, the structure comprising a collection of linked information units pertaining to the referral, at least some of the information units of the collection being operable to be modified, the information units of
15 the collection including: a referrer identifier identifying a referrer computer as being originator of the collection, the referrer computer being associated with the referrer; a referee identifier identifying a referee computer as an intended recipient of the collection, the referee computer being associated with the referee; and a modification flag operable to indicate that a modification was
20 made, from one of the referrer and referee computers, to at least one information unit of the collection. The data structure may be encoded on a computer-readable medium.

25 The information units of the collection may further include an event log operable to store an entry indicating occurrence of an event.

30 The information units of the collection further include a referral status field operable to indicate a referral status comprising at least one of an unread status signifying that the collection has not been viewed from the referee computer, an appointment set status signifying that an appointment has been set for the referral represented by the collection, a cancelled status signifying that the referral represented by the collection has been cancelled, and a

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completed status signifying that the referral represented by the collection has been completed by the referee.

5 The information units of the collection may further include at least one of a client name or identifier, a client date of birth, a need in respect of which the referral is made, an urgency status associated with the need, a referrer name, and a referee name.

10 The information units of the collection may further include at least one of a wait list priority field indicating a priority of the referral represented by the collection in a waitlist of the referee, a wait list status field indicating a status of the referral in the waitlist, a waitlist reason field indicating a reason for placing the referral on the waitlist.

15 The information units of the collection may further include at least one of a referral date sent field, a referral type field, a referral identifier field, a notes field, a client contacted field indicating whether the client was contacted about the referral, a certainty flag for indicating a level of certainty regarding a diagnosis, a referral status field, an appointment time field, a referral reason
20 field, an appointment cancellation reason field, a carbon copy field, a payer field, an attached files status field, and an archived status field.

25 Other aspects and features of the present invention will become apparent to those ordinarily skilled in the art upon review of the following description of specific embodiments of the invention in conjunction with the accompanying figures.

BRIEF DESCRIPTION OF THE DRAWINGS

In drawings which illustrate embodiments of the invention,
30 **Figure 1** is a schematic view of a referral management system according to a first embodiment of the invention, the system including a server and a plurality of client computers communicating therewith;

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Figure 2 is a block diagram of the referral management system shown in Figure 1;

Figure 3 is a schematic representation of a user interface depicting a main menu and a user summary display seen upon successful login into the system of Figure 1;

Figure 4 is a schematic representation of a patient selection page, produced in response to actuation of a make referral button on the main menu shown in Figure 3;

Figure 5 is a schematic representation of a selected patient page produced in response to actuation of a link shown in Figure 4;

Figure 6 is a schematic representation of a referring MD page produced in response to actuation of a continue button on the page shown in Figure 5;

Figure 7 is a schematic representation of a first consultant information page produced in response to actuation of a continue button on the page shown in Figure 6;

Figure 8 is a schematic representation of a MD search results page produced in response to actuation of a name link shown in Figure 7;

Figure 9 is a schematic representation of a second consultant information page produced in response to actuation of a name link shown in Figure 8;

Figure 10 is a schematic representation of a first problem/procedure selection page produced in response to actuation of a continue button shown in Figure 9;

Figure 11 is a schematic representation of a second problem/procedure selection page produced in response to actuation of continue button shown in Figure 10;

Figure 12 is a schematic representation of a notes page produced in response to actuation of a notes & files button shown on in Figure 11;

Figure 13 is a schematic representation of a referral summary and submission page produced in response to actuation of a summary button shown on the referral menu bar in **Figure 12**;

Figure 14 is a representation of a collection of linked information units, representing a referral from a referrer to a referee, and stored at a database of the system shown in **Figures 1 and 2**;

Figure 15 is a schematic representation of a display representing incoming referrals to a user of the system shown in **Figures 1 and 2**;

Figure 16 is a schematic representation of a display produced in response to selection of a referral represented in **Figure 15**;

Figure 17 is a schematic representation of a wait list page produced in response to actuation of a wait list button shown on the referral menu bar in **Figures 3 and 15**;

Figure 18 is a schematic representation of a user interface for facilitating the sending of messages by a user of the system shown in **Figures 1 and 2**;

Figure 19 is a schematic representation of an incoming messages page produced in response to actuation of an incoming message button shown in **Figures 15 and 18**;

Figure 20 is a schematic representation of a message page produced in response to actuation of a hyperlink shown in **Figure 19**.

Figure 21 is a schematic representation of a user interface for facilitating updating of problems or needs addressed by a user of the system shown in **Figures 1 and 2**;

Figure 22A & 22B are a flow chart illustrating an exemplary series of low-level transactions between a client computer and the server of the system of **Figures 1 and 2**;

Figure 23 is a simplified communication flow diagram illustrating a plurality of high-level transactions between a referring party (i.e., referrer) and a consulting party (i.e., referee) in respect of a referral, using the system shown in **Figures 1 and 2**.

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DETAILED DESCRIPTION

Referring to Figure 1, a referral management system for facilitating management of referrals from a referrer to a referee, according to a first embodiment of the invention, is shown generally at **100**. Generally, the system includes a referral management apparatus such as a server **102** and a plurality of client computers (e.g., **104**, **106**) operable to communicate with the server using a communication method, which may include a network **108**, such as the Internet, a public-switched telephone network (PSTN), WiFi, Ethernet™, or any other suitable communication method or combination of methods. The network **108** may further include a virtual private network (VPN) or an alternate mechanism for ensuring secure communication between the client computers (e.g., **104**, **106**) and the server **102**. A communication protocol such as TCP/IP or any other suitable protocol may be used to implement network communications. The server **102** includes a processor circuit **110**, which, in this embodiment, includes a memory **112** in communication with a processor **113**, the memory being encoded with codes for directing the processor to effect the functionality of the server as described below. Similarly, each client computer **104**, **106** has a respective processor circuit, which includes a processor and a memory encoded with codes for directing the processor to control the functionality of the respective client.

Although the system illustrated in Figure 1 can accommodate any number of client computers, for ease of illustration, Figure 1 depicts only two client computers, the first computer being a "referrer client computer" **104** associated with a referrer **116** and the second computer being a "referee client computer" **106** associated with a referee **118**. The referrer client computer **104** is used by or on behalf of a referring user to transmit information pertaining to a referral **114**, via the server **102**, to a user at the referee client computer **106**. The user at the referee client computer **106** may be the intended referee **118**, or a person acting on behalf of the intended referee.

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For example, the referrer **116**, associated with the referrer client computer **104**, may be a referring physician, such as a general practitioner making medical referrals in respect of his or her patients, and the referee **118**, associated with the referrer client computer **106**, may be a consulting physician, such as a specialist who accepts referrals, including referrals from the general practitioner. Additionally, the system **100** facilitates agents acting on behalf of the referrers and referees. For example, a client computer may be controllable by a medical office assistant (MOA) to send or receive electronic referrals on behalf of a physician with whom the assistant is associated. In some cases, the referrer **116** or the referee **118** may be an institution rather than a person (e.g., a hospital or clinic), and also may have a plurality of agents acting on its behalf.

The embodiments described herein are related to medical referrals, and therefore certain pairs of terms ("referrer" and "referring physician"; "referee" and "consulting physician") are used somewhat interchangeably. However, such usage is not intended to limit the present invention to the medical field. While the particular embodiments described herein to illustrate the invention support medical referrals, the broad principles behind these embodiments could be applied within referral management systems in other fields of endeavour.

A principal user may alternately act as either a referrer or referee in different transactions. Accordingly, a client computer (e.g. **104**, **106**) in this system is typically operable to both send and receive electronic referrals. Thus, the characterizations used herein of a client computer being either a referrer or referee computer should be understood as being descriptive only in respect of particular transactions performed from the client computer involving either the sending or receiving of an electronic referral, respectively. In other words, the same client computer could be characterized as a referrer computer in one transaction but as a referee computer in another.

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Similarly, while this description refers to a "referrer" **116** and a "referee" **118** as individuals, operating a "referrer client computer" **104** and "referee client computer" **106** respectively, this is merely to conveniently illustrate embodiments of the invention for particular transactions involving at least one referral **114** originating from the "referrer" **116** and addressed to the "referee" **118**. However, it will be appreciated that such language is illustrative and not limiting. A user may act as a referrer in respect of one referral and as a referee in respect of another. Moreover, any number of users of the system **100** may interact with each other by means of the system. Effectively, the embodiments described are intended for use by a plurality of referrers sending a plurality of referrals to a plurality of referees, such that different referrals may be sent to different referees, and such that some of the referrers may also be referees and vice versa.

In this embodiment, the server **102** utilizes software including an operating system **120**, a database server **122**, and a web server **124**. The operating system **120** may include Microsoft Windows 2000 Server™, the database server **122** may include Microsoft SQL Server™, and the web server **124** may include Microsoft Internet Information Services (IIS)™. The operating system **120** may include the database server **122** and the web server **124**, and other relevant network software such as a firewall **126**, for example. The server **102** may include a file system **128** for storing files accessible by the web server **124**. The file system may support a database **130** and related files accessible by the database server **122**.

The database server **122** is operable to control the database **130**, in response to signals received from the web server **124**. The web server **124** is operable to communicate with the plurality of remote client computers **104**, **106** and to present dynamic web pages **132** thereto. In the embodiment shown, dynamic web pages **132** are Active Server (ASP) pages generated in accordance with computer-readable codes based on ASPX executable files **134** compiled from Visual Basic™ source code using Microsoft .NET™ tools. The dynamic web

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pages **132** are operable to cause various displays to be seen at the client computers **104**, **106** and to receive user input therefrom as described herein. A helpful description of ASPX execution is provided in U.S. Patent Application Publication No. US **2004/0029092** A1, incorporated herein by reference. It will be appreciated by those skilled in the art that the present system need not be implemented by using ASP pages under the Microsoft .NET™ framework, and that other languages and communication methods could be substituted (such as Java™ for example).

Regardless of whether the functionality of the system described herein is implemented by dynamic web pages or by Java™, or by direct hosting at the server **102**, or by a combination of these or other methods, any implementation will include three main functions including a database interface function, a filter function and a client interface function. Together, each of these functions may be referred to as a set of interdependent services. Each set of interdependent services will be implemented by codes executing on either the server **102** processor **113**, on a processor at the client computer, or both. For example, the codes implementing a given function may be split such that one portion of the codes runs on the server **102** and another portion runs on the client processor **142**. For example, some of the functionality of the system may be implemented by code embedded in dynamic web pages. In the embodiment shown, some of the functionality of the system is implemented by code embedded in ASP pages that are transmitted from the server **102** to the client processor (**142**), for example, for execution at the client processor and some of the functionality of the system is implemented by code executed at the server **102**.

Generally, the software to direct the server **102** to perform the methods of this invention may be received or installed through an I/O interface **136** of the server **102**, and may be communicated, for example, through the network **108**, through a dial-up connection, through a computer-readable signal **138**, or through a computer-readable medium **140** such as a CD-ROM, floppy disk, or

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flash memory, for example, encoded with blocks of codes for directing the processor **113** to undertake particular processing steps.

5 Client computers, such as client computer **104**, generally include a CPU or client processor **142** and memory **144** and require no special software in this embodiment except for a web browser **146** such as Microsoft Internet Explorer™, which may be provided as part of an operating system **152** of the client computer (e.g., Microsoft Windows XP™). The operating system **152** may also include networking software **150** to enable access to a server such as **102** at a remote location. The client computers may have I/O interfaces **148** similar to those of the server **102**.

For ease of explanation, a generic representation of three main functional components of the system is provided in Figure 2.

15 Referring now to Figure 2, the server **102** is configured to invoke respective instances of code **154**, **156** to provide a respective set of interdependent services to each client computer **104**, **106** engaged in a communications session with the server **102**. Each set of interdependent services includes a database interface **158**, a filter **160** and a client interface **162**.

20 Generally, the database interface **158** is operable to control a database **130** to store, in the database **130**, information from a referrer or referee computer. The information may pertain to a referral from the referrer **116** to the referee **118**. The information is stored in the database **130** as a collection of linked information units including a referrer identifier identifying the referrer client computer **104** (associated with the referrer **116**) as originator of the information, and a referee identifier identifying a referee client computer **106** (associated with the referee **118**) as intended recipient of the information.

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30 The collection of linked information units thus represents a referral.

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The filter **160** is operable to cause the database interface **158** to identify collections of information units that satisfy a criterion, and to cause identifications of such collections to be displayed at a client computer such as the referrer client and referee client computers **104, 106**.

5

The client interface **162** cooperates with the database interface **158** and cooperates with the filter **160** to facilitate viewing and modifying of information stored in the database **130**. The client interface **162** is operable to communicate with and be controlled from the referrer or referee client computers **104, 106**. The client interface **162** may optionally include an authentication facility **164**, to provide for user authentication before permitting access to the server **102** by an inquiring referrer or referee computer.

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The client interface **162** includes a referral creation facility **166** operable to facilitate causing the database interface **158** to store information received from the referrer computer **104**, for example, as a collection of information units in response to signals received from the referrer computer **104**. The client interface **162** further includes an information display facility **167** operable to facilitating viewing, from the referrer and referee client computers **104, 106**, of at least one information unit in a collection identified by the filter **160**. The client interface **162** further includes an information modification facility **168** operable to facilitate causing a modification, from the referrer and referee client computers **104, 106** of at least one information unit in a collection identified by the filter **160**.

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The client interface **162** is operable to cause communication signals **170** or **172**, encoded with information, to be transmitted from the server **102** to a client computer (e.g., **104** or **106**) The information may include information units from the database **130** and may further include computer-readable codes operable to cause a processor (e.g., **142** in Figure 1) at the client computer to cause at least some of the transmitted information to be displayed at the client computer. The computer-readable codes may effect a

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user interface at the client computer such as a graphical user interface (GUI). The computer-readable codes may be further operable to cause the processor (142) at the client computer to facilitate generation of communication signals (also indicated by 170 or 172) for transmission from the client computer to the client interface 162, in response to user input at the client computer. The codes provided to the client computer by the host computer may include computer-readable codes embedded in dynamic web pages and interpretable by a markup language interpreter. For example, SGML codes (such as HTML) interpretable by a web browser 326 may be transmitted to the client computer 104. The computer-readable codes may alternatively, or in addition, include programs such as applets, scripts (e.g., JavaScript), objects, inclusions and/or links which are executable by the client computer to cause display of information thereat and/or to facilitate user interactivity.

In the embodiment described, the dynamic web pages produced by the server 102 produce the user interface seen at a client computer and may be operable to solicit user responses, for transmission back to the server 102, via input elements such as text boxes, buttons, lists, drop-down list boxes, radio buttons, and hyperlinks, for example. A user may manipulate or select an input element thereby causing a data or selection signal associated with that input element to be transmitted back to the server 102. In effect, the client interface 162 not only causes signals to be transmitted to client computers to cause various displays thereat, but it also receives signals from the client computers, based on user input thereat, and processes the received signals or forwards them to other software components at the server 102, as appropriate, to implement the methods described herein.

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Operation

Authentication Facility

Referring to Figure 2, generally, it is desirable that a user undergo authentication by the server **102** (by "logging in") before he or she is allowed to access services provided by the server software. In cases where the server **102** and client computers **104**, **106** are connected by a Virtual Private Network (VPN) within the network **108**, the user may be required to undergo more than one level of authentication. For example, the user may first log in to the VPN, and then login to the system **100** to establish a communication session therewith. The authentication facility **164** facilitates this login.

Effectively, the authentication facility **164** is operable to direct the server **102** to identify a computer from which signals are received as being associated with a particular user, in response to receiving from that computer a user key associated with a user identifier identifying that particular user. The authentication facility **164** directs the server **102** to determine whether the user identified corresponds to an authorized user of the system before establishing a communication session with that user.

To establish a communication session, the referrer **116** may use the client computer **104** to request a login page from the server **102**, for example, by entering a network address of the server **102** into an address line of the web browser (**146**) on the client computer **104**. This causes the authentication facility **164** to cooperate with the web server (**124**) to send a login page to the client computer **104** for display in its web browser.

The referrer **116** then enters a login token and/or password associated with the referrer into the login page, and causes his/her client computer to transmit the login token and/or password back to the server **102**. The authentication facility **164** directs the server **102** to determine whether the login token and/or password received from a client computer corresponds to a user identifier

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associated with an authorized user of the server **102**, and if so, the authentication facility **164** directs the server to associate the client computer with the authorized user, and enables the authorized user to proceed using the referral system **100** from that client computer. This constitutes a successful login.

In this embodiment, a physician may login under his or her own account, or alternatively, a physician or an MOA can login using a clinic account which may have multiple physicians associated therewith.

In response to a successful login, the client interface **162** automatically directs the server **102** to select and transmit an opening dynamic web page to the client computer. The opening dynamic web page is executed and displayed at the client computer **104** to which it was transmitted.

Opening Page

An exemplary opening dynamic web page is shown at **176** in Figure 3. The exemplary opening dynamic web page includes a menu bar **178** and an executive summary **180**. The menu bar **178** includes buttons **182-208** that are associated with codes embedded in the dynamic web page that direct the client computer to initiate functionality at the client computer and/or at the server (**102**). The executive summary **180** is produced by code embedded in the dynamic web page, which is executed by the client computer when the page is received at the client computer. Referring to Figures 2 and 3, this code directs the client computer **104** to produce a display template and cooperates with the client interface **162** to cause the filter **160** and database interface **158** to obtain information from the database **130** to populate the template with information from the database to produce the summary, as shown. Criteria used by the filter **160** are pre-established default criteria contained within the opening dynamic web page and associated with the executive summary **180**.

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The menu bar **178** is treated as a unit. The web server (**124** in Figure 1) may be configured with a plurality of dynamic web pages that include an instance of this menu bar and the functionality associated with it. In other words, the menu bar **178** need only be created once for the opening dynamic web page and can be replicated for each other dynamic web page on which it is desired to be used.

The menu bar **178** includes a make referral button **182** associated with code that causes the client computer and server (**102**) to cooperate to create an electronic referral. An incoming referral button **184** is associated with code that causes the client computer and server (**102**) to cooperate to cause a list of incoming electronic referrals for the user to be displayed. An "Outgoing Referrals" button **186** is associated with code that causes the client computer and server to cooperate to cause a list of outgoing electronic referrals made by the user to be displayed. An Incoming Messages button **188** is associated with code that causes the client computer and server to cooperate to cause incoming messages for a user to be listed. An Outgoing Messages button **190** is associated with code that causes the client computer and server computer to cooperate to cause outgoing messages for a user to be listed. An Administration button **192** is associated with code that causes the client computer and server to cooperate to permit a user (e.g., physician) or agent of the user (e.g., medical office assistant MOA) to update user information, update problem-related information associated with the current user, or update clinic accounts associated with the current user.

A Send Message button **196** is associated with code that causes the client computer and server to cooperate to facilitate a user of the system sending a message to another user. A Referral History/Archive button **198** is associated with code that causes the client computer and server to cooperate to display a history and summary log of every referral sent for a specific patient, or in some embodiments this button may also be associated with code that directs the server and client computer to cooperate to display a summary log of all

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cancelled or completed referrals for a specific physician. A Wait List button **200** is associated with code that causes the client computer and server to cooperate to display a summary of all the active referrals put by a consulting physician on his or her waitlist. A Draft Referrals button **202** is associated with code that causes the client computer and server to cooperate to display a log of partly-completed referrals that may be stored until the referrer decides to complete the referrals.

A Message Trash button **204** is associated with code that causes the client computer and server to cooperate to display a list of deleted messages, possibly for a limited period of time, before they are permanently removed from the system. A Help button **206** is associated with code that causes the client computer and server to cooperate to display instructions to the user. A User Summary button **194** is associated with code that causes the client computer and server to cooperate to display the executive summary shown at **180** in Figure 3. The Logout button **208** is associated with code that causes the client computer and server to cooperate to end the communications session with the server **102**.

Effectively, the code associated with the incoming referrals button **184**, the outgoing referrals button **186**, the incoming messages button **188**, the outgoing messages button **190**, the referral history/archive button **198** and the wait list button **200** respectively, cooperates with the client interface **162**, which cooperates with database interface **158** and the filter **160**, to cause the filter to filter the database records according to criteria associated with the particular function identified by the respective button, and causes a display associated with that function to be produced at the client computer.

If the user of the client computer actuates any of the buttons on the menu **178**, code associated with that button is executed at the client computer to initiate at the server **102** the process or functionality to which the button relates.

Creating a Referral

Actuation of the make referral button **182** of the main menu **178** invokes code in the current dynamic web page that causes a signal to be sent from the client computer to the client interface (**162**), and specifically to the referral creation facility (**166**), for a "new referral" dynamic web page, of a set of new referral pages, for receiving user input in connection with making a referral. The referral creation facility (**166**) cooperates with the web server (**124**) to produce and send to the client computer a first referral page.

An exemplary first referral page is shown at **210** in Figure 4. This page facilitates patient selection through manual entry of patient information or through lookup in a patient database that may be maintained on the database **130**, to identify a patient with whom the referral is to be associated. In the latter case, the referral creation facility (**166**) may facilitate the user searching a referring physician's database, a clinic's database, or an independent database containing patient information, and transmitting any information found to the server **102**.

A referral menu bar **212** is included within the first referral page **210** and includes code that enables the user to link to a second page as shown at **215** in Figure 5, which facilitates entry of further information regarding the patient, if not already provided through database lookup. This second page **215** includes a continue button **213** which further permits linking to a referring MD page as shown at **216** in Figure 6.

Referring to Figure 6, the referring MD page **216** may include entry boxes **218** - **224** with drop down menus that link to databases of names of doctors, types of referrals, reasons for referrals, and payers for services rendered in connection with referrals. In this embodiment, a logged-in physician can send referrals from himself or herself, and a medical office assistant (MOA) logged in under a clinic account can choose a physician from a list of physicians

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associated with the clinic. The referring MD page **216** further includes a continue button **213** which facilitates linking to a consultant information page **226** as shown in Figure 7.

5 Referring to Figure 7, the consultant information page includes search entry boxes **228** and **230** that are linked to databases that facilitate searching for consulting MD's by name. In addition, this page includes an advanced search button **236** that facilitates searching by specialty, location, wait time, gender, language, problem/procedure, or any other parameter. Selection of a name in
10 box **228** causes the server to produce a dynamic web page as shown at **232** in Figure 8 which provides a hyperlinked list of possible candidate MDs satisfying the entered criteria. The user may select one of the indicated candidates by clicking on the corresponding hyperlink, which causes the server to produce a consultant detail dynamic web page as shown at **234** in
15 Figure 9.

Referring to Figure 9, the consultant detail **234** page lists details of the selected consultant. If the user is the actual consultant selected, the information shown may be edited. Otherwise, the consultant information may
20 only be viewed.

Referring back to Figure 7, actuation of the advanced search button **236** causes the server to produce an advanced search dynamic web page as shown at **238** in Figure 10. The advanced search dynamic web page **238**
25 includes a first drop down box **240** for selecting one of a plurality of consultant specialties and includes a second drop down box **242** for selecting one of a plurality of problems. The consultant specialties and problems are pre-stored in a sub-database of the database (**130**) to facilitate lookup as indicated. The advanced search page **238** also has an add button **244** that, when actuated,
30 associates the problem indicated at **242** with the referral and links to a problem entry dynamic web page as shown in Figure 11.

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Referring to Figure 11, the problem entry page 246 facilitates viewing of information relating to a problem, including a problem identifier 248, recommended disposition 250, information required for new referrals 252, a list of information required 254 and patient instructions 256. A box 258 is provided to enable the user to indicate whether the problem is urgent. Alternatively additional boxes or user input features may be provided to facilitate user entry of the level of urgency of the problem or to indicate "unsure" if the identity or nature of the problem is unclear (e.g., the referring physician is not fully confident in his or her preliminary diagnosis). In some embodiments, if the problem is not already selectable in the drop-down list boxes, the user may be allowed to enter the problem manually.

When problem information is entered by the user, the server may display problem-specific instructions directed to the referring physician and/or to the patient. The instructions may be based on predefined instructions provided by the system, or they may be custom instructions pre-entered by the consulting physician, as will be described below. For example, for a surgery case, a note may be displayed in box 254 for the referring physician, requesting that the latest kidney X-ray be provided to the consulting physician, and other notes may be displayed in box 256 to provide patient instructions such as not to eat for 24 hours prior to the appointment. (Patient-specific instructions may be conveyed to the patient by the referring physician or an MOA.)

Similarly, the referral creation facility (166) may cause the host processor to dynamically generate instructions for display in one of the boxes 250, 252, 254, 256, based on the selection of the problem, for example, or based on a series of diagnostic questions that may be presented in one of the boxes 250, 252, 254, 256 or in an alternative user interface display, such as in a pop-up dialogue box, for example. Thus, for example, a referring physician (e.g., referrer 116) may be notified that a patient ought to be sent urgently and directly to a hospital emergency room or be sent urgently to visit a consulting

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physician (e.g., referee **118**) in his or her office. Similarly, the referring physician may be given instructions regarding what medical tests ought to be initiated for this patient to facilitate the acceptance or expeditious handling of the referral by the consulting physician. In this way, the consulting physician may communicate, at an early stage of the referral, the types of information that will need to be provided or gathered by the referrer for the benefit of the consulting physician. In other cases, the dynamically-generated instructions may simply inform the referrer (**116**) that the referee (**118**) will not accept this kind of referral, given the information received from the referrer (**116**) (e.g., the responses to the diagnostic questions). In this embodiment, the instructions generated by the server (**102**) may include instructions for the patient as well, which the referring physician (or an MOA) can convey to the patient.

Thus, the referral creation facility (**166**) may cause one or more questions, such as the above-mentioned diagnostic questions, to be presented to a user of the referrer computer (**104**), to receive a response to the question or questions from the user, and to cause the database interface (**158**) to store the response(s) in the database (**130**) in association with the collection of linked information units representing the present referral. For example, in the case of a medical referral, the referrer (**116**) may be queried regarding patient symptoms and/or what medical tests have been performed on the patient. The referral creation facility (**166**) may cause a notification to be transmitted to the referrer computer (**104**) if the response to the question does not satisfy a validation criterion. For example, if in response to the aforesaid query, the referrer (**116**) indicates that he or she has not initiated a particular medical test which is considered essential, a warning message may be annunciated to the referrer computer (**104**) to this effect. In some embodiments, the referral creation facility (**166**) may go further and decline to allow the collection to be stored in the database as representing a valid referral if this particular validity criterion is not met.

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More particularly, the dynamic web page shown in Figure 11 may direct the server (102) to present a series of questions to the referring physician based on predefined questions stored in the database (130) relating to the condition/problem or procedure in question. The database (130) may be populated by a plurality of sets of default diagnostic questions associated with respective problems/procedures, which may have been designed in consultation with experts. The questions may form a diagnostic questionnaire, comprising, for example, a dozen commonly-asked questions by consulting physicians in respect of that problem or procedure. In some embodiments, the default questions may be customizable by a referring physician using the functionality described in connection with the Administration procedures described below. Responses by the referring physician may be stored in the database (130) for future access by others, such as the consulting physician. The responses may help the consulting physician to get at least some of the information necessary to properly address the problem presented by the patient.

Referring to Figure 11, the referral menu 212 further includes a button 260 for entering notes and attaching files, which when actuated, causes the server to produce an dynamic web page as shown at 262 in Figure 12. This page 262 includes a text box 264 for user entry of clinical notes and includes a file attachment facilitator 266 permitting a user to identify and attach files stored locally at the client computer. Files may include digitized representations of X-ray images, for example. After adding notes as shown in Figure 12, the user may actuate a "done" button to indicate entry of information is completed and this may cause the server 102 to cause a page as shown in Figure 13 to be displayed at the client computer.

Referring to Figure 13, the referral menu 212 further includes a summary button 269, which when actuated, causes the server to produce a dynamic referral summary as shown at 270. This summary includes a summary of information pertaining to the referral and includes a submit referral button 272

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which invokes code that causes a signal to be sent to the client interface (162) to cause it to store the referral in the database (130).

5 The effect of the referral information entry process provided by the above described dynamic web pages is to create a collection such as shown generally at 274 in Figure 14, to validate the collection and then store it in the database.

10 Referring to Figure 14, on actuation of the make referral button (182) of the main menu (178), the referral creation facility directs the server to set up a data structure for accumulating and temporarily storing, in scratchpad memory at the server, a collection 274 of information units representing information entered by the user through the referral entry pages described above. The collection 274 may be structured to include a referral record data structure
15 276. Once a collection 274 of information units has been prepared, when the user actuates the submit referral button 272 shown in Figure 13, the collection is validated against a list of rules, and if valid, is sent to the database interface (158) for storage in the database (130) as a valid referral collection 274, which is implemented in part in this embodiment by the referral record 276.
20 Although, in this embodiment, the referral record 274 may contain most of the information units pertaining to a referral, it should be understood that the collection 274 may further include additional information units (for example, uploaded files) which are not stored in fields in the referral record 274, but which are nonetheless linked so as to be part of the collection 274 of linked
25 information units representing the referral.

In this embodiment the data structure of the referral record 276 includes the fields outlined in Table 1 below, for storing related information units associated with a referral.

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Table 1. Fields of Referral Record (276)

Date **278** - date and time of when the referral was made. The contents of this field may be derived from the system date and time known by the client computer or the server computer **102**.

PatientID **280** - unique ID for patient (e.g., personal health number), The "PatientID" field **280** of the referral record **276** may include a pointer to a record in a patient table of the database **130** containing patient information.

Name **282** - formatted name of the patient,

Date of Birth (DOB) **284**- date of birth of the patient,

SentBy **286** - name of the sender (e.g., referring physician),

SentTo **288** - name of the receiver (e.g., consulting/referee physician),

ToID **290** - unique ID of person to whom referral was sent (e.g., billing number of consulting/referee physician),

FromID **292** - unique ID of person who sent the referral (e.g., billing number of referring physician),

ReferralType **294** - type of referral (In this embodiment: this field may hold an indicator identifying the referral as a referral, a re-referral of more than 6 months old, re-referral of less than 6 months old, a follow-up referral from in-patient care, a follow-up referral from specialist appointment, or other types of referrals),

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ReferralID **296** - unique ID generated for the referral, this field associates the other fields of this collection to each other, and may be used as an index to find information units located in other database tables which are related to this referral,

5

ToStatusChanged **298** – holds change/update notifications for the referee (**118**). Such notifications may relate to any modifications made to the referral by a referring physician, for example. The referee may be notified as a result of changes of the contents of this field. In this embodiment, the ToStatusChanged field **298** is operable to represent combinations of the following modifications to the information units of the collection **274**:

10

(a) an appointment request to the referee (**118**), made by the referrer (**116**) using an information modification facility (**168**) of the server (**102**), the appointment request representing a request from the referrer (**116**) that the referee (**118**) set up an appointment for the client associated with the referral (**114**);

15

(b) an appointment change request to the referee (**118**), made by the referrer (**116**) using the information modification facility (**168**), the appointment change request representing a request from the referrer that an existing appointment for the client be rescheduled by the referee;

20

(c) an appointment cancellation request to the referee (**118**), made by the referrer (**116**) using the information modification facility (**168**), representing a request that the referee cancel the existing appointment;

25

(d) a waitlist request to the referee (**118**), made by the referrer (**116**) using the information modification facility (**168**), representing a

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request that the referee (118) add the client to his or her waitlist for appointments;

5 (e) a waitlist priority change request to the referee (118), made by the referrer (116) using the information modification facility (168), representing a request that the waitlist priority of the client on the referee's waitlist be changed;

10 (f) a waitlist removal request to the referee (118), made by the referrer (116) using the information modification facility (168), representing a request that the client be removed from the referee's waitlist; and/or

15 (g) a "notes updated" status, indicating that the referrer (116) has updated (i.e., appended information to) the notes associated with the collection 274 and stored in a ReferralNotes field 302, for the referee (118) to view; and/or

20 (h) a "referral cancelled" status, indicating that the referrer (116) has unilaterally cancelled the referral 114.

25 FromStatusChanged 300 - holds change/update notifications for the referrer (116), such as any modifications made to the referral (114) by the referee (118), such as a consulting physician, for example. The referring physician may be notified of changes to the contents of the field. The FromStatusChanged field 300 in this embodiment is operable to represent combinations of the following modifications to information units of the collection 274:

30 (a) an "appointment made" status, indicating that the referee (118) has set an appointment for the client associated with the referral (e.g., (114)) represented by the present collection 274;

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(b) an "appointment changed" status, indicating that the referee (118) has changed the client appointment associated with this referral (114);

5

(c) an "appointment cancelled" status, indicating that the referee (118) has cancelled an appointment for the client for this referral (114);

10

(d) an "added-to-waitlist" status, indicating that the referee (118) has added the client for this referral to the referee's waitlist;

(e) a "waitlist priority changed" status, indicating that the referee (118) has changed the waitlist priority associated with this referral (114);

15

(f) a "removed-from-waitlist" status, indicating that the referee (118) has removed this referral (114) from the referee's waitlist;

20

(g) a "notes updated" status, indicating that the referee (118) has updated (i.e., appended information to) the notes associated with the collection 274 representing this referral (114), the notes being stored in the ReferralNotes field 302, for the referrer (116) to view; and/or

25

(h) a "referral cancelled" status, indicating that the referee (118) has unilaterally cancelled the referral (114).

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In this embodiment, ToStatusChanged and FromStatusChanged fields 298, 300 of the referral record 276 may separately or together be regarded as a modification flag.

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To facilitate tracking modifications made to a collection **274**, the client interface **162** cooperates with the database interface **158** to associate a modification flag with the collection. Effectively, the modification flag may be used to identify collections which were modified by one party to a referral and/or to track the nature of the modification made, in order to provide a notification of the modification to the other party to the referral, for example. In this embodiment, collections modified by one party may be "marked" accordingly in the modification flag, at least until the other party has viewed the modification by invoking the information display facility (**167**). Thus, modifications caused by the referrer (**116**) may remain marked until viewed by the referee (**118**), and vice versa. However, it will be appreciated that it may not be essential for every modification made to a collection **274** to be marked in the modification flag; certain trivial modifications made to a collection by one party to a referral may simply not be worth bringing to the attention of the other party to the referral.

In this embodiment, the referral creation facility (**166**) initially sets the modification flag to a first value or status to indicate that the collection has not yet been modified. For a referral sent between two parties, the information modification facility (**168**) is further operable to set the modification flag to a second value or status, differing from the first value, when the information modification facility (**168**) is controlled, from one party's computer, to cause a modification to the collection **274**. The information display facility (**167**) is also operable to set the modification flag to a third value or status when the information display facility (**167**) is controlled, from the other party's computer, to select this newly modified (i.e., updated) collection **274** for viewing thereat. The third value may equal the first value if it is desired to reset the modification flag to its original state to indicate that a collection **274** has no modifications made to it by a modifying party which have not been viewed by a non-modifying party.

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It will be appreciated that the modification flag may alternatively be implemented by a single binary flag, implemented in a single field, for example. However, the modification flag may effectively comprise a plurality of modification sub-flags, operable to be set independently to respective pluralities of different values.

Continuing on with the description of the fields of the referral record data structure **276**, the data structure in this embodiment further includes the following fields.

ReferralNotes 302 – clinical notes associated with the referral. Both the referrer (**116**) and referee (**118**) can append notes to this field.

Urgency[i] 304 - urgency of condition i (boolean); in this embodiment, $i=1...3$;

Unsure[i] 306 - unsure of condition i (boolean) - certainty level associated with a preliminary diagnosis of the referrer; in this embodiment, $i=1...3$;

Problem 310 - Condition / Procedure 1;

ProblemID[i] 312 - ID of a need or condition i associated with this referral, such as an identity of a disease sought to be treated in the patient; in this embodiment, $i=1...3$;

Status 314 – a referral status flag field comprising a referral status flag representing a status of the referral associated with this collection. In this embodiment, the referral creation facility (**166**) is operable to cooperate with the database interface (**158**) to store the referral status flag in the referral status flag field **314** of the collection **274**, and to set

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the referral status flag to a first value to indicate that the collection has not yet been viewed by the referee (118) (i.e., it is "unread"). Other components of the server (102) may be operable to modify the referral status flag in response to signals received from the referrer or referee computers (104, 106). In this embodiment, the following referral statuses are of interest and are represented by the referral status flag:

- (a) unread: a status indicating that this collection has not yet been selected for viewing from a computer associated with the designated referee of the collection;
- (b) pending: a status indicating that the referral represented by this collection has been selected for viewing from a computer associated with its designated referee, but no appointment has been set;
- (c) appointment set: a status indicating that an appointment has been set for the referral represented by this collection;
- (d) cancelled: a status indicating that the referral represented by this collection has been cancelled; and
- (e) complete: a status indicating that the referral represented by this collection is complete.

Effectively, the referral creation facility (166) cooperates with the database interface (158) to initially store a referral status flag set to a first value to indicate that the collection has not yet been viewed by the referee (118). The information display facility (167) causes the referral status flag to change to a second value to replace the first value, if at least one information unit of the collection 274 is displayed at the referee computer (106) using the information display facility (167). For

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example, the information display facility may cause the referral status flag field **314** of a collection viewed by the referee indicated in its referee field to be changed from an “unread” status to a “pending” status to reflect the fact that the collection **274** is no longer unread by the referee (**118**).

Whereas, in this embodiment, the contents of the referral status flag field **314** of each collection **274** can be set to one of a plurality of different statuses or values, it will be appreciated that in other embodiments, storage of these and other referral-related statuses or flags could be implemented in a variety of ways, such as in independently controllable status flags, possibly stored in separate information units, whether in the referral record **276** or elsewhere, for example.

Continuing on with the description of the field of the referral record data structure **276**, the data structure in this embodiment further includes the following fields:

Patient Contacted 316 - a boolean flag indicating whether or not the patient was contacted about the latest changes to this referral;

ContactedBy 318 – holds indications of whether the referrer (**116**) or referee (**118**) is responsible for contacting the patient;

WLPriority 320 - wait list priority (set by referee (**118**)); in this embodiment, four priority levels are used in association with waitlists;

WLstatus 322 - wait list status (boolean) (set by referee (**118**)) – a flag indicating whether or not the patient was put on the referee’s waitlist;

ApptTime 324 - time of appointment with the referee for this referral;

MSPReason **326** - reason for referral (Medical Services Plan);

ApptCancelReason **328** - reason for cancelling an appointment;

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Activity Log **330** – activity/event log for referral; the system adds an entry including an indication of any changes made to the referral and a chronological order indicator, such as a timestamp, every time there is a significant event pertaining to the collection, such as a flag, status, or certain kind of information unit change. Each significant event is an entry which becomes a part of a permanent referral history in the Activity Log **330**. The referrer (**116**) and referee (**118**) have restricted access to this field. They cannot edit or delete past entries in the field. This may be important for liability reasons.

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In this embodiment, the activity log field **330** is automatically updated in response to at least the following events: referral sent, referral read, a referral cancellation request (by the referrer), a referral cancellation (by the referee), referral completion (by the referee), an appointment request (by the referrer), an appointment made (by the referee), an appointment change request (by the referrer), an appointment change (by the referee), an appointment cancellation request (by the referrer), an appointment cancellation (by the referee), a waitlist request (by the referrer), patient put on waitlist (by the referee), waitlist priority change request (by the referrer), waitlist priority changed (by the referee), waitlist removal requested (by the referrer), patient removed from waitlist (by the referee), clinical notes added (by the referrer or referee).

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In some embodiments, codes may be provided in the input interface so that the referrer (**116**) or referee (**118**) may cause the server (**102**) to add specific entries to the activity log field **330** to record events which may affect liability, such as, for example, an indication that the referee

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was “unable to contact the patient”. In some embodiments, the activity log need not be implemented in the referral record **276** of the collection **274**, and could be stored in a separate file, for example. In this case, an index to the activity log may be provided in the activity log field **330** to link to the separate file.

WLReason **332** - reason for a wait list request;

CC[i] **334** - Carbon copy of referral was sent to (e.g., billing number of physician) [in this embodiment, i=1...3];

Payer **336** – Information about the payer who will pay for the referral (medical service plan, insurance company, workers’ compensation board, etc.);

PayerOption **338** - extra info on payer (data entered in text box);

ReferralReason **340** - reason for referral (e.g., in this embodiment: see and treat, take over care, answer question, opinion only, second opinion, procedure, other); set by referrer.

AttachedFiles **342** - boolean variable indicating whether or not there are files associated with the referral; as seen in connection with Figure **12**, the client interface (**162**) is operable to cooperate with the database interface (**158**) to facilitate uploading a file, into the database (**130**), from a referrer client computer in response to upload signals received therefrom. Similarly, the client interface (**162**) facilitates downloading the file from the database (**130**) to the referee client computer in response to download signals received therefrom. The Attached Files flag field **342** includes an “Attached Files” flag, which indicates whether files associated with the referral (e.g., image files such as X-rays) are stored elsewhere in the database (**130**). Related files associated with

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the collection **274** may be located by using the "Referral ID" field **296** of the referral record **276** to lookup their file names in an "Attached Files" table in the database (**130**), for example.

5 IsArchived **346** - boolean variable indicating whether the collection is active, archived, or about to be moved from the active list to the archive within a certain number of days (used to mark an almost-completed/almost-cancelled referral in the inbox/outbox);

10 Class Identifier **348** - In response to receiving information pertaining to a referral, the referral creation facility (**166**) may produce a class identifier classifying the referral into a predefined classification, and cause the database interface (**158**) to store the class identifier in the information field **348** shown in Figure 14. For example, the server
15 (**102**) may automatically produce a class identifier identifying an appropriate disposition of the referral in response to the information contained in the referral record, based on diagnostic rules stored in the database (**130**). The class identifier may be based wholly or partly on user responses to questions posed to the user by the client interface
20 (**162**).

 LocationID **350** – An identifier representing the location that the referral is going to. This is useful where the referee/consultant has multiple practices at multiple locations.

25 It will be appreciated that some of the fields in the referral record data structure **274** are updated or determined by processes executed by the server **102** in response to certain user actions and that the contents of some of the fields may be used to determine actions to be taken in a process or the
30 outcome of a process.

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In some embodiments, it may be desirable to store fewer, additional, or alternate information units to those shown in Figure 14, as part of the collection 274. Moreover, the information units of a collection 274 may be distributed across a plurality of files and database tables in partly or fully normalized form in order to improve performance and reduce storage requirements. It will be appreciated that there are many other equivalent ways of storing information pertaining to a referral within the scope of this invention.

Additional Database Tables and Data Structures

It will be appreciated that other database tables and data structures may be used. For example, the server (102) may create new instances of various records in the respective tables or delete existing record instances, as appropriate. The server (102) may also modify existing instances of records by modifying the fields of the records. Moreover, the appropriate columns (i.e., fields) of the various tables may be searched to filter or sort or otherwise format the information that is presented to a user. It may be necessary to link record instances from two or more tables in order to achieve a desired complex search which relies on data spread across the two or more tables. Some of the significant tables used in one embodiment are listed in Table 2.

Table 2. Significant Tables Used in One Embodiment

ArchiveTable	-holds all completed referrals
CustomDiagInstructionTable	-holds the custom instructions for each physician for each customized problem
DoctorTable	-holds information on physicians
MasterProblemTable	-complete list of all conditions and procedures
MDSeenProblemTable	-the list of problems which each consulting physician (e.g., specialist) will see

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	MessageTable	-holds all the current messages
	MessageTrashTable	-holds all the messages marked for deletion
	PatientTable	-holds patient info
5	ReferralFilesTable	-holds file location for any files associated with a referral or message
	ReferralTable	-holds all active referrals
10	RelatedUserTable	-stores the list of physicians associated with a user such as a MOA. (There may be one entry in this table for each physician associated with an MOA.)
	SpecialtyTable	-a list of all the specialties
	UserTable	-stores info on the user
15	FeedbackTable	-stores feedback sent from a user to the system administrators, including comments, who sent them, and when they were sent

20 Referring to Table 2 above, the ArchiveTable and the ReferralTable may be used to store instances of the referral record 276 (see Table 1 and Figure 14). The MessageTable and the MessageTrashTable may store instances of a message record similar to the referral record. The other record tables listed in Table 2 may include fields as indicated below.

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Table 3. CustomDiagInstructionTable

	ProblemID – ID of the problem / procedure
	billNum – unique billing number of the physician
	RefMDInst – custom instructions to the referring physician
30	PatInst – custom instructions to the patient

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Table 4. DoctorTable

	LName - last name
	FName - first name
	MiddleInitial - middle initial
5	BillNum - unique ID for each physician (i.e., their billing number)
	specialty[i] - physician's specialty (in this embodiment, $i=1...3$)
	PHoneNum - phone number
	faxnum - fax number
	address - address
10	location - city
	waitTime - physician's average wait time
	HospPriv - hospital where physician has operating privileges
	UserName - physician's user name
	email - email address
15	cellnum - cell number
	worknum2 - alternate phone number
	pager - pager number
	language[i] - physician's i -th language (in this embodiment, $i=1..3$)
	workExt[i] - i -th extension for phone number (e.g., $i=1..2$)

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Table 5. MasterProblemTable

	ProblemID - unique ID for problem
	specialty - specialty that the problem belongs to
	ICD9 - the medical community's specified code for a problem
25	Problem - the problem name
	RefMDInst - default referring physician instructions
	PatInst - default patient instructions
	NUWT - default non-urgent waiting time
	TestResults - test results required

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Table 6. MDSeenProblemTable

billnum - ID of physician that will see the problem

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ProblemID - ID of problem seen by physician

ISCustom - flag indicating if custom instructions, questions or tests are present for this problem

Specialty - specialty that the problem belongs to

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Table 7. PatientTable

LName - last name

FName - first name

MiddleInitial - middle initial

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PatientAddress - address

PatientLocation - city

PatientPHN – personal health number

PatientID – unique patient ID

PatientChartNumber – patient chart number

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PatientAge – patient age

PatientSex – patient sex/gender

PatientDoctor – patient family physician

PatientDOB – patient date of birth

PatientHomePhone – patient home phone number

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PatientWorkPhone – patient work phone number

PatientCellPhone – patient cell phone number

PatientGuardian – patient parent or guardian

PatientGuardianRelationship – nature of relationship to guardian

PatientProblemHistory – historical information relating to patient problem

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In some embodiments, there may be a separate patient table for each clinic/user that uses the system (100), such that each clinic/user is separately responsible for providing the contact information for a patient when a referral is made. This arrangement increases the privacy of patient information, and may prevent one clinic/user from overwriting the patient information entered by another clinic/user. Moreover, this arrangement is flexible in that it may

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allow the patient to provide different information to different clinics/users (e.g., different summer and winter addresses).

Table 8. ReferralFilesTable

5 refID - ID of referral or message
 File[i] - location of file *i* (in this embodiment, $i=1...5$)

Table 9. RelatedUserTable

 UserID - unique ID of user
 10 RelatedUser - unique ID of related user

Table 10. SpecialtyTable

Specialty – each entry in table is a medical specialty

Table 11. UserTable

15 LoginName - what a user uses to login with
 UserID - the unique user ID
 UserType - physician, MOA, clinic administrator
 DisplayName - a text "real name" to display (e.g., if you login as KMC,
 20 it will display Kensington Medical Clinic)
 Password - user's password
 FailedLogin - stores # of failed logins (if it reaches a certain level,
 account will be frozen)
 NewUser - stores whether the account has been used yet or not
 25 LoggedIn - flag to ensure that each person can only login once at a
 time

30 The listings and descriptions of fields for the tables described above are not to
 be considered as limiting, since other fields consistent with the operation of
 this embodiment may also be used as appropriate. For example, the various
 tables may include columns having common keys operable to be used by the
 database software for cross-referencing data between tables. Furthermore,

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many tables may have fields such as CreationDate, CreatedBy, ModifiedDate, and ModifiedBy to facilitate tracking changes to the data in those tables.

5 Other tables may also be used in some embodiments of the invention, as appropriate, such as a CustomDiagQuestions table for including a set of standard (but customizable by the consulting physician) diagnostic questions for a particular problem/need/procedure, or a CustomTestsRequired table for storing a set of standard (but customizable by the consulting physician) medical lab tests that the consulting physician requires be done before a
10 patient is referred for an appointment. Moreover, joint database tables may be used to store a list of all the languages that a physician can speak or understand. For example, LanguageTable may store a list of languages and their associated language identifiers, and RelatedLanguageTable may store user identifiers in association with language identifiers. Similarly, since a
15 physician may have multiple practice locations at various addresses, joint database tables (e.g., LocationTable and RelatedLocationTable) may be used to store a list of all the addresses at which the physician practices, by associating the respective physician's user identifier with the various addresses.

20 It will be appreciated that there are a variety of equivalent ways, within the scope of the present invention, to store information associated with a referral in a database. In alternate embodiments, some of the above-mentioned tables may be merged with other tables, and some fields may be moved to
25 another table. Moreover, the number, types and sizes of the information units stored in the various tables may also vary between embodiments. This embodiment uses a local relational database ((130) in Figure 1), however, various alternative database implementations could be used such as a distributed database, an object-oriented database, or a hybrid object-relational
30 database, for example.

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Draft Referral Storage

Making a referral may involve the bi-directional communication of data, including files, questions and responses, for example, between the referrer's client computer (104) and the server (102). In this embodiment, this exchange of data takes place over a number of discreet steps, leading to the possibility that an error may be made in one of the steps. If an error is made by the referrer (116) in the foregoing steps, the referrer may go through the preceding steps in reverse order to correct the error. Note also that, in this embodiment, the referrer (116) may optionally exit the make referral process at any stage by "saving" a partly completed referral without completing it.

A partly completed referral may be saved by actuating the submit referral button 272 shown in Figure 13, which will initiate the validation procedure. The validation procedure will present the user with the option to save the referral as a draft referral or as a completed referral and if the user selects the draft referral option, a draft flag will be set in a status field (314) of the referral record data structure 276 so that searches of the records in the database 130 can distinguish between draft referrals and complete referrals. To retrieve draft referrals for later completion, actuation of the draft referrals button (202 in Figure 3) causes the host processor to search the database to obtain a list of draft referrals and to facilitate the user selecting a draft referral for editing, in which case the information already entered from the draft referral is copied into a blank data structure used for a new referral and the user can navigate through the make referral pages described above to add information, as appropriate.

Validation

If the user does not activate the save as draft function, a validation process is initiated to test the information entered by the user against one or more validation criteria. Validation criteria may include a default set of criteria established by administrators of the system, and/or custom criteria associated with the designated referee (118) for the referral (114), for example.

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The validation process may involve checking whether all necessary information has been properly entered and whether the referral is a duplicate referral. A duplicate referral could arise if two different users accidentally tried to submit the same referral independently, or if the user forgot that the referral had been previously submitted. The existence of a duplicate referral is detected by configuring the filter (160) to identify whether there are any other collections in the database (130) having the same referrer and referee for the same patient and the same problem, possibly within a particular past time period (e.g., 6 months). If at least one such collection is identified, a warning notification may be provided to the user with an option to cancel or proceed with the referral, for example.

The validation process may also involve checking whether the referral is appropriate for the particular consulting physician designated as the referee. The appropriateness of a referral may be tested according to the specialty and/or the preferences associated with the consulting physician in the database (130). In this regard, each consulting/referee physician is associated with a list of conditions or problems that that consulting/referee physician will or will not treat, in order to limit unwelcome or inappropriate referrals. The client interface (162) facilitates allowing a referee to specify a list of specialties or practice areas for which it is appropriate to send referrals to this referee, thereby specifying conditions, problems, or needs that he or she will, and/or will not, address.

Waitlist Priority

In some embodiments, submitted referrals may automatically be prioritized onto a waitlist of the referee (118) in accordance with predefined problem prioritization rules, which may be stored in the database (130) of the server (102). Automatic prioritization of incoming referrals in this way enables the referee (118) to filter or sort incoming referrals by waitlist priority by configuring the filter (160) to select or sort collections 274 by the contents of

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their WaitlistPriority field **320**, for example. Sorting referrals in this way may be particularly useful for consulting physicians who need to manage their waitlist so as to address more serious medical problems sooner. The predefined problem prioritization rules used to classify incoming referrals may

5 associate a particular waitlist priority with a particular problem or procedure indicated in the information submitted by the referrer (**116**). Prioritizing a referral may thus include reading a collection **274** for information units representing a problem or procedure in respect of which the referral was submitted (e.g., reading the ProblemID field **312** of referral record **276**),

10 searching the database **130** to determine a priority associated with that problem or procedure according to relevant prioritization rules stored in the database, and associating a particular priority status with the referral according to the relevant prioritization rule, by storing a priority status value in the Waitlist Priority field **320**. One of four waitlist priorities such as

15 "emergent", "urgent", "expedient", and "routine" may be used, for example. The server (**102**), upon receiving a referral submission, may automatically classify a corresponding collection as having one of these four priorities, which effectively places the referral into a waitlist queue associated with that priority. In this embodiment, the consulting physician may rely on the default

20 problem priority rules provided by the database **130** or may customize them to suit the consulting physician's preferences.

In this embodiment, third parties (e.g., insurers) pay the cost of a referral, whereas physicians decide if it is medically necessary. Thus, when a referral

25 is submitted by the referee (**116**), the host processor may send a notification to a payer indicated by the Payer field **336** to facilitate pre-approval of payment to the referee (**118**). Alternatively, upon receiving a notification from the referee (**118**) that the referral is complete, the host processor may facilitate the referrer (**116**) notifying the payer that the referee (**118**) should be

30 paid.

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After the referral has been validated, the server **102** is directed to send or store all the information units pertaining to the referral, to or at the database **130**. These information units are linked as a collection **274** representing the referral. The preparation of an electronic referral is thus completed.

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Once a plurality of referrals have been made and stored as collections of information units as described above, various actions may be taken to treat the referrals (more precisely, the corresponding collections) as groups for display purposes. Actions may be taken to facilitate viewing and modifying of the referrals, and more particularly, the information units associated with the collections used to represent them, in response to user input. In this regard, the filter **160** shown in Figure 2 facilitates grouping for display purposes.

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Filter

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As mentioned above, each client computer **104** or **106** in Figure 2, is operatively coupled through a respective client interface **162** to a respective filter **160** at the server **102**. In response to signals received at the client interface **162** from a client computer, the filter **160** is operable to cause the database interface **158** to identify collections of information units in the database **130** that satisfy a criterion, for example, by performing a search of the database for such collections, or by referencing a file, pointer or data stream indicating the identity of such collections. The filter **160** is further operable to cooperate with the client interface **162** to cause identifications to be displayed to a user at the client computer such that respective identifications correspond to respective collections of information units identified by the filter as satisfying the criterion. Displayed identifications, in effect, identify the group of collections satisfying the criterion, to the user.

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In this embodiment, causing identifications to be displayed may involve causing labels corresponding to collections satisfying the criterion, to be displayed at the client computer. A label may comprise representations of one or more particular information units from the corresponding collection,

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displayed using a first set of display parameters. For example, as illustrated in Figure 15, the client computer could be caused to display a label 352 comprised of particular information units from each corresponding collection of the group of collections identified by the filter 160. Each respective label may be shown as a respective line including a respective referral submission date, client name, a client identifier (e.g., personal health number), a client date of birth, a problem/need, an urgency status of the problem/need, a referral or waitlist status, a referrer name, and the referee name, and an indication of whether the client has been contacted or not, for example.

Referring back to Figure 2, the filter 160 is further operable to use, as its filter criterion, a compound criterion comprising a plurality of sub-criteria, for example, a first criterion and one or more additional criteria. For example, a user could opt to view only identifications of incoming referrals from a desired referrer. To accomplish this, the client computer may be configured to receive user input indicating a desire to seek incoming referrals from the desired referrer and pass such input to the filter 160 to cause it to select from the database collections, having a ToID field 290 identifying the user (i.e., a first sub-criterion), and a FromID field 292 identifying the desired referrer (i.e., a second subcriterion). A set of identifications corresponding to the selected collections could then be caused to be displayed at the client computer in a manner analogous to that illustrated in Figure 14.

It will be appreciated that the user interface provided by the client interface 162 may use mnemonic input elements to facilitate the user controlling the filter 160. In the above case, for example, the client interface 162 may allow the user to select the desired referrer's name from a list, and then it may map the selected name to a corresponding referrer identifier to be used to search the FromID field 292. Thus, while it may be impractical for the user to remember the desired referrer's identifier, the user can readily use a mnemonic input element associated with the referrer's identifier, to effectively select the desired referrer identifier.

Moreover, the client interface **162** may be operable to use a plurality of display criteria when causing identifications to be displayed, and these display criteria may correspond to respective sub-criteria of a collection identification criterion used by the filter **160**. For example, the client interface **162** may cooperate with the filter **160** to cause labels associated with collections satisfying an additional selection criterion to be displayed at the client computer using a second set of display parameters, in order to distinguish labels associated with collections which satisfy the additional selection criterion from labels associated with collections that do not. The second set of display parameters may cause rendering of identifications satisfying the additional selection criteria in a different font, size or colour from surrounding identifications, displaying them in association with a graphic or animation, or the use of other distinguishing indicia. For example, in this embodiment, a new or modified but unread collection may have a blue blinking dot displayed adjacent a line of text identifying the unread collection. To take another example, an identification may be rendered in a bold font, thus distinguishing it from the other identifications which are rendered in a normal font.

The client interface **162** may automatically cause the filter **160** to use a predefined criterion as its criterion for searching, or it may solicit a user at a client computer to supply the criterion to be used by the filter. The client interface **162** may also be operable to present a set of predefined criteria at the client computer to the user, and to solicit the user to select a predefined criterion from among the presented set. In this embodiment, this is accomplished by the client interface **162** providing to a user at a client computer, a set of selectable input elements such as hyperlinks or buttons corresponding respectively to the set of predefined criteria. When the user selects one of the aforesaid input elements, this causes a selection signal, indicating a selected predefined criterion corresponding to the selected input element, to be transmitted to the client interface **162**, which, in turn, causes the filter **160** to use the selected predefined criterion as its search criterion.

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Thus, the client interface **162** may be operable to cause the filter **160** to use, as its criterion, a predefined criterion selected from a set of predefined criteria, in response to a selection signal received from the client computer, indicating the predefined criterion.

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Referring to Figure 3, some exemplary predefined criteria and input elements of this embodiment are explained in connection with the executive summary **180**. The opening page shown in Figure 3, may have embedded within it, code that automatically communicates with the filter **160** to cause it to scan the database **130** for new referrals for the current user, new messages, etc. The filter performs these scans and returns a number such as shown at **354** indicating the number of records meeting the criteria. The executive summary **180** includes a set of buttons beside the numbers returned by the filter (**160**), which invoke corresponding code to signal to the server (**102**) to cause it to actuate the filter to retrieve a list of referrals corresponding to the labels. For example, there is provided a "New Referrals" button **356** for causing the filter (**160**) to identify unread collections (i.e., new incoming referrals) addressed to the user. There is also a "Patients to be Contacted" button **358** for causing the filter (**160**) to identify collections for which the user is acting either as a referrer or as a referee and for which the patient has not yet been notified of the latest changes to the referral status. There is also a "Today's Appointments" button **360** operable to cause the filter (**160**) to identify collections for which the user is designated as referee of the referral and which have appointments set for today, a "Patient with Appointments" button **362**, a "Patients on Waitlist" button **364**; an "Updated Referrals - Incoming" button **366** and an "Updated Referrals - Outgoing" button **313**.

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In addition, it will be appreciated that buttons **184**, **186**, **188**, **190**, **198**, **200**, **202** and **204** of the main menu **178** are similarly associated with respective predefined criteria for use by the filter (**160**) to identify and display a set of collections to the user.

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Referring to Figure 2, it will be appreciated that at least some of the predefined criteria provided to the filter 160 in response to actuation of any button may be automatically established based on the identity of the user associated with a client computer, as identified by the authentication facility 164 when a session is established. That is, a user identifier identifying the user (e.g., a referrer identifier identifying the referrer 116, or a referee identifier identifying the referee 118) may be used to derive a simple or compound predefined criterion (or predefined criteria) for use by the filter 160. The predefined criteria includes criteria which are considered likely to be useful to this user, such as criteria identifying various types of collections associated with the user. Thus, the predefined criteria provided for selection to the referrer 116 or referee 118 may cause the filter 160 to identify collections having either the referrer identifier or the referee identifier in either the "FromID" field 292 or the "ToID" field 290, for example.

The filter 160 is further operable to cause identifications to be displayed at the client computer in an order dependent upon an information unit in each collection corresponding to a displayed identification. For example, the filter 160 may be configured to cause display of a given set of identifications in chronological order or reverse chronological order. The sort key used by the filter 160 may be controllable by the user of the client computer, such as by selecting a desired sort key from several options in a drop-down list box, e.g., 372 in Figure 15.

The filter 160 may be operable to test various information units of respective collections as part of its criterion. In this embodiment, the filter 160 is further operable to establish its criterion based on the state or value of the referral status flag field 314 in the collection 274 shown in Figure 14. Thus, the criterion used by the filter 160 may include a condition that the referral status flag (314) of a collection satisfies a referral status criterion, in which case the filter will identify collections having a referral status flag satisfying the referral status criterion. For example, the referral status criterion may be that a

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collection has a referral status flag (314) indicating that the collection has not yet been selected for viewing from the referee computer 106 (i.e., having an "unread" status).

5 Referring to Figures 2 and 14, testing a flag may be part of a compound criterion. For example, the referrer 116 may wish to identify collections sent by the referrer to the referee 118 that the referee has not yet viewed by selecting them from the referee computer 106. This may be accomplished in this embodiment by the referee 118 controlling the client interface 162, from
10 the referee computer 106, to cause the filter 160 to identify collections having a "FromID" field 292 matching the referrer identifier, a "ToID" field 290 matching the referee identifier, and also having a referral status flag indicating that a collection is unread.

15 In this embodiment the filter 160 may also be operable to establish its criterion based on the state or value of the modification flag as represented by the ToStatusChanged and FromStatusChanged fields 298, 300 in Figure 14. Thus, the filter 160 may be operable to identify collections having a modification flag satisfying a modification criterion so that identifications
20 corresponding to collections having information units that have been modified in accordance with the modification criterion, are caused to be displayed at a client computer. For example, the filter criterion may be that a collection has a modification flag indicating that the referral associated with that collection has been cancelled. A modification flag may also be used as part of a
25 compound criterion. For example, the referee 118 may wish to identify collections sent from the referrer 116 to the referee that the referee has cancelled. This may be accomplished in this embodiment by the referee 118 controlling the client interface 162, from the referee computer 106, to cause the filter 160 to identify collections having a "FromID" field 292 matching the
30 referrer identifier, a "ToID" field 290 matching the referee identifier, and also having a FromStatusChanged field 300 indicating that the referral has been cancelled by the referee 118.

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Thus, it will be appreciated that the referrer **116** and referee **118** may set the filter **160**, from their respective client computers, to various settings throughout a session, to manage referrals in this system.

5

Information Display and Modification Facilities

Referring to Figure 2, as stated earlier, the client interface **162** includes an information display facility **167** and an information modification facility **168** for remote viewing and modifying of collections. Both facilities **167**, **168** are operable to be remotely controlled in response to control signals received from a client computer such as **104** or **106**. In response to the control signals, the information display facility **167** is operable to facilitate viewing, from the client computer, of at least one information unit in a collection identified by the filter **160** and selected from the client computer by user input thereat. Similarly, in response to the control signals produced by a client computer, the information modification facility **168** is operable to facilitate causing a modification, from the client computer, of at least one information unit in a collection identified by the filter **160** and selected from the client computer by user input thereat.

20

As described above, the filter **160** is used to identify a set of collections in the database **130** meeting filter criteria established by default in response to user actions and/or by direct input of criteria by the user. In response, a set of identifications respectively corresponding to the set of collections is displayed at the user's client computer. A user may select a particular collection from the set for viewing. In particular, the client interface **162** is operable to receive, from the client computer, a selection signal indicating selection by the user of a particular collection from among the set of collections identified by the filter **160**. In this embodiment, the selection signal may be generated when the user clicks on a hyperlink embedded in a displayed identification, thereby effectively selecting the collection that it represents. The information

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display facility **167** causes display at the client computer of information units from the selected collection, in response to the selection signal.

Referring to Figures **2** and **14**, the collection **274** described above as having been created by the referrer **116** from the referrer computer **104**, and being addressed to the referee **118** at the referee computer **106**, may be identified by the filter **160** and selected by a user operating either the referrer or referee computers **104**, **106**. In response to selection signals from either the referrer or referee computer **104**, **106** the client interface **162** causes the information display facility **167** to cause at least one information unit in this collection **274** to be displayed at the particular computer or computers **104**, **106** from which the selection signal was received. Similarly, information units of this collection **274** may be remotely modified from either computer **104**, **106** using the information modification facility **168**. Once the information display facility **167** causes display of information units from a selected collection **274** to a user at a client computer in response to a selection signal identifying the selected collection, the information display facility **167** then presents a set of modification options, relating to the collection, to the user, the modification options being selectable and controllable through corresponding input elements (e.g., hyperlinks, buttons, text input boxes, and other suitable input elements). If the user selects one of these modification options, this is interpreted by the information modification facility **168** as the issuance of a modification command. The information modification facility **168** thus facilitates the user modifying at least some information units of the collection **274** in accordance with the modification command issued. In response to issuing a modification command, the information modification facility **168** may prompt the user for user input providing the details of the desired modification. For example, if the user issues a command to modify the notes associated with the referral **114**, the user may be prompted to enter additional notes, which are appended to the Referral Notes field **302** of the corresponding collection **274** as the command is executed. Modifications may relate to

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modifying the data content of the collection **274** or flags associated with the collection, for example.

Effectively, this collection **274** is accessible in this embodiment through both the referrer and referee computers **104**, **106** for both viewing and modifying, since both the referrer **116** and referee **118** are parties to the referral **114**. The ability to both view and modify a collection representing a referral **114**, from respective computers associated with the referrer **116** and the referee **118**, provides a flexible vehicle for ongoing, interactive communication between the referrer **116** and referee **118** of information pertaining to the referral **114**.

Incoming Referrals / Outgoing Referrals

Referring back to Figure 3, in this embodiment, the system **100** facilitates management of referrals by providing a virtual inbox and outbox for incoming and outgoing referrals, respectively. Specifically, "Incoming Referrals" and "Outgoing Referrals" buttons **184** and **186** are operable to send signals to the server **102** to invoke blocks of program codes that cause the server **102** to cause representations of incoming or outgoing referrals (as appropriate) to be displayed at the client computer (e.g., in the web browser **142**).

Referring to Figures 3 and 14, on receiving a signal from the client computer in response to actuation of the incoming referral button **184**, for example, the filter (**160**) is loaded with filter criteria specifying that all collections having the current user identifier in the ToID field **290** are to be located and sorted according to the contents of the urgency field **304**. The filter (**160**) returns to the display facility (**167**) a list of records satisfying the above criteria and sorted as specified. The display facility (**167**) provides at the client computer a selectable list of identifications corresponding to incoming referrals, as shown at **500** at Figure 15. The display interface hyperlinks the identifications to actual records of corresponding collections in the database (**130**) so that

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the user can simply click on an identification of interest to see details of the associated referral.

5 The page shown in Figure 15 includes input elements 370, 372 and 374 which may be used to receive user input to cause the filter (160) and client interface (162) to change the list of identifications seen in Figure 15 according to user-specified criteria. In this embodiment, the user may control the filter (160) to view only identifications corresponding to referrals meeting a predefined criterion, such as only new referrals, unread referrals, referrals
10 where the patient has been contacted, updated referrals, referrals to a particular physician, patients on the wait list, patients with appointments, or only pending referrals, for example. Drop down boxes in input elements 370 and 372 can be used to give the user the ability to filter and sort database records based on criteria associated with any suitable field in the referral
15 record data structure 276 shown in Figure 14.

When the user actuates a hyperlink associated with one of the labels seen in the list of identifications displayed in Figure 15, a signal is sent to the server (102) causing it to produce and send to the client computer a dynamic web
20 page to produce a display as shown at 376 in Figure 16 at the client computer, to reveal the contents of a selected referral record. This display is caused by the information display facility 167 of the server 102.

Referring to Figure 16, a display produced by an dynamic web page for
25 displaying the contents of a user-selected referral collection is shown generally at 376. The display includes a referral menu bar, shown generally at 378, and an information area, shown generally at 380. In the embodiment shown, the information area includes information from the user-selected collection, shown as bold text. This text is obtained from corresponding fields
30 in the collection 274 shown in Figure 14, for example. The information shown in this embodiment includes the patient name 382, the referring physician name 384, the consulting/referee physician name 386, the problem or need

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5 **388**, the referral status **390**, an indication of whether the patient was contacted regarding the latest change to the status of their referral **392**, an indication of to whom a carbon copy of the referral was sent **394**, the reason for the referral, the payer for the referral **398**, the referral type **400**, clinical notes **402**, and an event log **404**.

10 In the specific collection shown in Figure 16, the referring physician is "Gregory Baldwin", as shown at **384**, the referee **118**, or consulting physician, is "Damian Burianyk", as shown at **386**, and the patient is "Robert MacKenzie", as shown at **382**. Since this view was generated in response to selecting a hyperlink **352** in an "Incoming Referrals" display (Figure 15), it should be evident that the display of Figure 16 would have been presented at a computer associated with the referee "Damian Burianyk" or by an authorized agent of this referee (e.g., an associated MOA).

15 An "Event Log" display area **404** shows only three entries in reverse chronological order:

- 20 (a) a first entry for Jan. 27, 2004, when the referral was first created by the referrer **116** by using the referral creation facility **166**;
- (b) a second entry for Feb. 04, 2004, when the referral was first read by the referee **118** via the information display facility **167**; and
- 25 (c) a third entry for Feb. 16, 2004, when the referee **118** set an appointment using the information modification facility **168**.

30 The information area **380** further includes its own menu area, shown generally at **408**, including the following buttons: show patient info **410**, show referring MD info **412**, show consultant MD info **414**, show problem/procedure details **416**, show clinical notes **418**, show activity log **420**, and add to activity log

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421. The show patient info button **410** invokes code within the dynamic web page that causes the server **102** to send to the client computer a new dynamic web page similar to that shown in Figure **16**, with further information pertaining to the patient from the collection **274**, or from another patient database that may be accessible to the system. The “show referring MD” button **412** invokes code within the dynamic web page that sends signals to the server **102** that cause it to send to the client computer a new dynamic web page (not shown) similar to that shown in Figure **5**, with further information pertaining to the MD from the collection **274**, or from another MD database that may be accessible to the system. The show consultant MDinfo button **414** may do the same to cause a display of information similar to that shown in Figure **9** pertaining to the consultant MD. The show problem/procedure details button **416** invokes code within the dynamic web page that causes the server (**102**) to send to the client computer a new dynamic web page, similar to that shown in Figure **11**, with further information pertaining to the medical problem from a medical problem database that may be accessible to the system.

At least some clinical notes from the notes field (**302**) are displayed to the user in a notes display area, as shown generally at **402**. While this display area may be made larger than illustrated in Figure **16**, it may be desirable to display more information from the notes field (**302**) than can be conveniently displayed in this display area. Accordingly, the show clinical notes button **418** invokes code within the dynamic web page that causes a window to open and which causes the server (**102**) to send to the client computer a dynamic web page similar to that shown in Figure **12** to enable access to any further information stored in the notes field (**302**) or files associated therewith.

At least some entries stored in the activity log field (**330**) are displayed to the user in the event log display area shown generally at **404**. The show activity log button **420** invokes code within the dynamic web page that causes a

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window to open in the current page to display any further information stored in the activity log field (330) of the record (276).

5 The add to activity log button 421 invokes code within the dynamic web page that enables a user to add a free-form text entry to the activity log field (330). The user may enter a note, for example, indicating that attempts have been made to contact the patient but that to date, no contact has been made. A chronological order indicator (e.g., date and time) and an identification of the user may automatically be associated with the entry in order to indicate who
10 made which entry, and when.

Still referring to Figure 16, operations of the referral menu bar 378 will now be described.

15 The referral menu bar 378 includes a plurality of buttons that invoke code in the current dynamic web page, to cause corresponding processes to occur at the server (102). In the embodiment shown, the referral menu bar 378 includes a cancel referral button 422, a reply button 424, a manage files button 426, a return to source page button 428, a put on wait list button 430, a
20 medical services plan (MSP) referral request button 432, a complete button 434, a view referral history button 436, a change appointment button 438, a cancel appointment button 440 and an add notes button 442.

25 Actuation of the cancel referral 422 button invokes code that causes the client computer to send the server (102) a cancellation signal to indicate that the referral should be cancelled. When either party to the referral cancels a referral, the contents of the status field (314) of the corresponding referral collection (274) are replaced with a cancelled status indicator, and the client interface (162) may automatically generate a cancellation message to the
30 other party, similar to that shown in Figure 18, indicating that the referral was cancelled and why.

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In response to actuation of the reply button **424**, a signal is sent from the client computer to the server (**102**) causing the server to produce and send to the client computer an dynamic web page for sending a message to the other party to the referral, such as is shown at **368** in Figure **18**.

5

Referring back to Figure **16**, in response to actuation of the manage files button **426**, code is invoked at the client computer to send a signal to the server (**102**) to cause it to cause a window to open at the client computer and to list within that window the names of any files indicated in the attached files field (**342**) of the collection (**274**). The names of the files may be hyperlinked to the actual files enabling the user to simply click on one of the listed files for viewing.

10

In response to actuation of the view referral history button **436**, code is invoked to cause the client computer to send a signal to the server (**102**) requesting a referral history/archive dynamic web page displaying a list of all referrals made for the patient indicated in the display area **380**. The list may be shown in a format similar to that shown in Figure **15**, for example. To obtain the information required to populate this list, the filter (**160**) may be configured to identify collections for which the contents of the PatientID field (**280**) match the current patient's identifier (e.g., PHN) and for which the contents of the status field (**314**) indicate that the referral has been completed.

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When a referral is completed, the user may actuate the MSP referral request button **432** to indicate that payment is now authorized to be made in connection with this referral by a third party payer identified by the payer field (**336**). The payer may be a health insurer, for example. The server (**102**) may be configured to automatically submit a payment request to the indicated payer in response to actuation of the MSP referral request button **432**.

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Actuation of either the change appointment button **438** or the cancel appointment button **440** invokes code at the client computer that sends a

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signal to the server (102) causing it to locate the contents of the appointment time field (324) to determine if and when an appointment has been scheduled with the consulting MD indicated in the ToID field 290 of the collection. If no appointment time has been previously entered into the appointment time field (324), a dynamic web page (not shown) containing a blank template for doing so is presented at the client computer. If an appointment time has been previously entered into the appointment time field (324), a template is provided to facilitate changes to the appointment time. The template may provide a list of times with certain time blocked out, to indicate that appointments have already been booked in those times, to permit persons scheduling appointment times to avoid selecting a contentious appointment time.

Of course, to maximize the benefit of making appointments with this system, it may be desirable that the system include provisions for managing all appointments, not just those that relate to referrals. In this regard a suitable interface between Microsoft Outlook™, for example, and the appointment time fields of collections may be desirable.

Actuation of the "put on waitlist" button 430 by a consulting physician invokes code at the client computer that directs the client computer to send signals to the server (102) to cause the referral to be entered into a virtual waitlist associated with the consulting physician. The waitlist may be implemented as a file containing identifications of referral records having a "sent to id" field (288) identifying the physician with whom the waitlist is associated, and the collections associated with this file may be automatically sorted according to the contents of the waitlist status, priority and reason fields 320, 322 and 332, for example.

Actuation of the complete button 434 invokes code at the client computer that causes a signal to be sent to the server (102) to cause it to change the referral status field (314) of the present collection (274) to "complete" so that it

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may be treated differently than pending referrals by the filter (160). This button may appear on a dynamic web page intended for viewing by a consulting physician and desirably would not be made to appear on a dynamic web page intended for viewing by a referring physician.

5

Actuation of the add notes button 442 invokes codes for causing the client computer to send a signal to the server (102) to cause it to open a window in the currently displayed dynamic web page to facilitate the entry of notes which are then appended to the Notes field (302) associated with the collection (274).

10

Referral History / Archive

Referring back to Figure 15, activation of the Referral History/Archive button 198 of the main menu 178 invokes blocks of codes at the client computer causing it to send a signal to the server (102) causing it to send back to the client computer a dynamic web page similar to that shown in Figure 15. To do this, the filter (160) is loaded with filter criteria that employ the contents of the status field (314) to cause selectable identifications of archived (i.e., complete) referrals to be displayed. The user may then be presented with a plurality of options to narrow the filter criteria and sort the identifications using the user entry boxes 370 and 372 for example. In some embodiments, the dynamic web page may accept further user input specifying a specific patient in order to configure the filter (160) to identify referrals sent for that patient by employing the contents of the patient identifier field 280. Alternatively, or in addition, the dynamic web page may be operable to accept user input specifying a specific physician, in order to configure the filter (160) to identify cancelled or completed referrals for that physician. In the latter case, the filter (160) would be configured to identify collections based on the contents of the ToID, FromID and status fields (290, 292 and 314) as appropriate.

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Various filter (160) criteria could also be used in combination based on any combination of appropriate information units of respective collections (274) in

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the database **130**. For example, a user might control the filter (**160**) to cause the server (**102**) to show "Archived Referrals" for a specific patient or physician, with a date before January 1, 1990.

5 Wait List

Referring back to Figures 3 and 15, when the user actuates the wait list button **200**, blocks of code are executed by the client computer to cause a signal to be issued to the server (**102**) to cause the server to specify filter criteria to the filter (**160**) to cause it to seek records from the database (**130**) according to the contents of their waitlist priority field (**320**), wait list status field (**322**) and reason field (**332**). Records satisfying the specified criteria are identified and the client interface (**162**) causes a dynamic web page as shown in Figure 17 to be sent to the client computer to list identification of referrals on a waitlist associated with the user. Each referral identification is hyperlinked to its corresponding referral collection (**274**).

In the embodiment shown, the identifications include patient name **444**, date for which the referral was created **446**, date of birth **448**, an urgency field **450**, a problem procedure field **452**, a sent by field **454** a sent to field **456**, a priority field **458**, a length field **459** and a type field **462**. Each of the fields, with the exception of the length field **459**, are loaded with the contents of corresponding fields by the same names in the corresponding referral record (**276**). The contents of the length field **459** are derived from the identification indicated by the problem procedure field **452** or may be derived from appointment information.

The waitlist dynamic web page may include further buttons **460** and **461** and associated blocks of code that respectively direct the server (**102**) to remove a referral from the waitlist or change the priority of a referral on the waitlist. Execution of these blocks of code causes the server **102** to correspondingly modify the Waitlist Priority **320**, Waitlist Status **322** and Waitlist Reason **332**

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fields, as well as the ToStatusChanged **298** and FromStatusChanged **300** fields of the collection (**274**) associated with the referral.

Message

5 Referring back to Figure 2, as a further feature of the system, the client interface **162** may facilitate a user sending a message, for example, in association with making particular modifications to a collection **274**. That is, in response to receiving particular modification commands from one of the referrer and referee computers **104**, **106**, the client interface **162** may facilitate
10 the user sending a message from that computer to the other of said referrer and referee computers **106**, **104**. In this embodiment, for instance, when either the referrer **116** or referee **118** cancels a referral, he or she is prompted to enter information for a cancellation message (e.g., explaining the reason for the cancellation), and this message is sent to the other party. In addition, in
15 this embodiment, when marking a collection **274** associated with a referral as having been completed, the referee **118** is prompted to enter information for a "referral complete" message, and this message is sent to the referee **118** as a consultation letter reporting the results of the referral.

Incoming Messages / Outgoing Messages

20 Referring back to Figure 15, the incoming messages/ outgoing messages buttons **188** and **190** invoke code that provides integrated messaging to facilitate referral management. When a user actuates the buttons entitled "Incoming Messages" **188** or "Outgoing Messages" **190**, this causes the
25 server **102** to display representations of incoming or outgoing messages at the user's computer, in a list form, similar to the way in which conventional emails would be seen in an Inbox or Sent folder (i.e., outbox) in Microsoft Outlook TM, for example. To generate a message, a message generation dynamic web page as shown in Figure 18 may be sent to the client computer
30 in response to completion or cancellation of a referral or in response to user activation of the send message button **196** on the main menu **178**.

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Referring to Figure 18, the dynamic web page for creating a message may include the main menu 178 seen in Figure 15 and further includes an input area shown generally at 462. The input area 462 includes a select recipient button 464 which provides a contact list of all doctors registered with the system. Provisions may be provided to facilitate entry into a particular point on the contact list simply by entering the first few letters of the desired recipient's last name, for example, and then conventional scrolling may be used to locate the desired doctor's name. Clicking on the desired doctor's name causes the name to be copied to a "to" field 466 of the input area 462. Similar provisions may be provided for identifying the person from whom the message is to be sent, with the additional provision that the user identifier of the person logged onto the system may be used to locate the name of the person in the database 130, and this name may be used as a default name in a "from" field 468 in the input area 462.

Provisions such as a drop down box 470 may be provided for identifying message types. Messages in this embodiment are generally one of six types:

General Message Type: a general message, not associated with a patient, between physicians (i.e., referrers and/or referees);

Patient-related Message: a message between physicians about a patient;

Cancellation Message: a message generated when either party cancels an electronic referral, indicating that the corresponding real-life referral was cancelled and why;

Referral Complete Message: a message indicating that the referral is complete and including a consultation letter having a written account of the referee's diagnosis, treatment, and recommendations, for example, as well as other results of the referral;

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Missed Appointment Message: a message indicating that the patient has missed an appointment with the referee; and

Referral Request Message: a message requesting a financial transaction that needs to be completed to allow the referee to bill for the referral.

Provisions may also be provided as shown at **472** for entering the name of the patient into a patient display field **474**. In addition, similar provisions may be provided for entering subject information, from a pre-defined list of possible subjects. The use of a pre-defined list ensures that similar matters have similar subject identifiers, which provides for consistency among messages and makes them easier to group, if desired. The input area **462** may further include an urgency field **476**, in which a simple yes/no identifier may be entered. In addition, a free form text entry box as shown at **478** may be provided for entry of free form text indicating a subject.

The input area **462** may further include a cancel button **480**, which automatically cancels the message, and a send button **482** which sends the message to the database **130** for later retrieval by the intended recipient.

Referring to Figure 2, the user may interact with the client interface **162** as described above to generate messages manually. In other cases, messages are generated by the client interface **162** in response to specific system events, for example, when a referee **118** indicates that a referral is complete, or when either a referrer **116** or referee **118** cancels a referral.

Regardless of how a message is generated, a "message" is represented by a complex variable within the system, namely, by an instance of a message record, defined in accordance with a message data structure, as shown in Table 12 below. It will be appreciated that the message record is somewhat similar to the referral record **276**, and thus many of the functions available in this embodiment in respect of collections **274**, may also be made available in

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respect of messages. In this embodiment, a message record includes fields as follows:

Table 12. Message Record Data Structure

5	Date - date message sent
	PHN – personal health number of patient (if any) in message
	Name - name of patient (if any)
	DOB - date of birth of patient (if any)
10	Urg - urgency of message
	ToName- Name of receiver (physician)
	ToID - billing number of consulting physician (unique)
	FromID - billing number of referring physician (unique)
	msgType - type of message
15	msgID - unique ID for message
	ToStatusChanged - holds changes/updates notification for the Consulting MD
	FromStatusChanged - holds changes/updates notification for the Referring MD
20	referralNotes - content of message
	Subject - Subject of message
	Status - status of message
	PC - Patient contacted (boolean)
	referralLog - activity log for message
25	CC[i] - billing number of i-th physician on cc list
	AttachedFiles - boolean indicating whether there are files associated with message
	IsArchived - boolean indicating whether message is active or in trash

30 When the user enters information into the user interface shown in Figure 18, for example, temporary variables (not shown) in the memory (112) of the server (102) are created to hold the information submitted. When the user

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submits the message to the server (102) by clicking the Send button 482, this causes the server (102) to store the new message record in the database (130).

5 When the user actuates either the incoming messages button 188 or the outgoing messages button 190 code is invoked at the client computer to send a signal to the server (102) to cause it to send to the client computer a dynamic web page such as shown in Figure 19, that provides a list of incoming or outgoing messages, respectively, at the client computer.

10 Referring to Figure 19, the dynamic web page that shows a list of incoming messages is shown generally at 484. The page includes a display area 486 having a name field 488, a date field 490, a date of birth field 492, a subject field 494, a sent by field 496, an update field 498, a to field 500, and an urgency field 502. The name field 488, date field 490, date of birth field 492, subject field 494 and urgency field 502 are extracted from corresponding fields from the same name of the record data structure 276 of the corresponding collection 274. The sent by field 496 is populated by indexing a look up table with the contents of the FromID field in the message record data structure. The update field 498 is populated with a yes or no depending upon the status of the ToStatusChanged field or the FromStatusChange field in the message record data structure (Table 12). The "To" field 500 likewise is populated by the contents of the ToID field of the message record data structure. As shown in the first entry in the name field 488, the patient name "HYDE, Lynn" is underlined to indicate that this identification is hyperlinked to the actual message record data structure for that patient. Actuation of such a hyperlink, directs the client computer to send a signal to the server computer 102 to cause it to send to the client computer a message view dynamic web page such as shown generally at 502 in Figure 20.

30 Referring to Figure 20, the message view dynamic web page 502 includes patient name, from, to, and subject information shown generally at 506, with

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buttons shown generally at **508** enabling expansion to show further patient information, further referring MD information, and further consulting MD information in dynamic web pages as shown in Figures 5, 6, and 7, for example. In addition, the message view page further includes a message/notes field **510** which includes notes entered in the subject field **478** in Figure **18**, by the originator of the message. The message view page further includes an activity log **512** indicating a date, time and user activity associated with the message.

Any new message text entered by a user will be appended to the message (in the ReferralNotes field shown in Table 12) and a modification flag associated with the message will be set (stored in the ToStatusChanged and FromStatusChanged fields shown in Table 12). When the party to whom the message is sent views his or her incoming messages, the client interface (**162**) will cooperate with the filter (**160**) and database interface (**158**) to identify messages which have been modified to the party. This may be accomplished by testing the ToStatusChanged or FromStatusChanged flags of Table 12 and varying the display parameters with which a representation of the modified message is displayed. A message sent by a user will always appear in that user's "outbox", and a message received by a user will always appear in that user's "inbox", even when it is modified (until it is deleted).

Administration

Referring now to Figure **21**, an "Update Conditions/Procedures Info" dynamic web page is displayed in a browser window **514** on the client computer (**104**, **106**) as shown generally at **516**.

The page includes a list **518** that displays a list of specialties which were associated with the user, shown to be "General Surgery" and "Pediatrics" in Figure **21**. The page also includes a list **520** that provides a list of additional specialties and practice areas that the user may add to the current list of specialties **518**. Similarly, entries from list **518** may be removed by the user.

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The specialty practice information represented in list **518** may thus be changed by the user.

5 The server **102** associates each specialty or practice area with a list of needs, conditions or procedures that the user may choose to address or not to address, based on the user's specialization and/or preferences. A list of conditions **520** not seen or procedures not performed by the current user, and a list **522** of conditions seen or procedures performed by **522** the current user, are thus provided. By default, all problems and procedures for a specialty in
10 the list **518** may be added to the "Problems/Procedures Seen" list **522**, and all problems and procedures from the "Other" list **524** may be added to the "Problems/Procedures Not Seen" list **520**. A user may choose a specialty from either list **518** or **524**, which will cause the server **102** to display all the problems and procedures associated with that specialty in lists **520** and **522**,
15 respectively. The user may then cause the server **102** to move a selected problem or procedure from one list to the other (**520** and **522**) by using the four buttons shown generally at **526**, and may cause the server **102** to update the "Problems/Procedures Not Seen List" **520** by actuating the button entitled "Update Diagnoses Seen For This Specialty" **528**. Thus, the lists **520** and **522**
20 may be updated by the use of the four buttons **526** and by the button **528**, and the results may be stored in the database **130** in association with a user identifier associated with the user whose profile was customized.

25 In this fashion, a referee (e.g., a consulting physician) can customize the types of referrals that he or she is willing to accept. The referee's customized preferences may be enforced by the validation procedure described above in connection with the submission of a referral.

30 The dynamic web page **516** also provides a button entitled "Customize Instructions" **530**, which facilitates a physician selecting a problem/procedure from list **522** and clicking button **530** to customize the instructions for that problem/procedure. Selecting button **530** allows the consulting physician to

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update the instructions which the referral creation facility (166) provides to the referring physician and/or to the patient, in respect of a particular condition or procedure. Default instructions may be stored for each condition/procedure in the server database (130), therefore the consulting physician need not
5 customize the default instructions unless he or she is dissatisfied with them. If, however, the consulting physician chooses to customize at least some of the instructions, the customized instructions will be stored by the client interface (162) in the database (130) in association with an identifier identifying the consulting physician, to facilitate later retrieval. The user may
10 click the "Back" button 532 to exit the "Update Problem/Procedure Info" screen and return to a page providing other administrative functions.

In general, the administrative features of the system 100 provide for patient and doctor information entry and further facilitate the following:

- a. Updating the list of problems/needs seen or not seen by the user when acting as a referee (e.g., as a consulting physician), for use as a validation criterion by the referral creation facility 166 when a prospective referrer attempts to send an electronic
20 referral to this user;
- b. Customizing referrer and/or client (e.g., patient) instructions to be dispensed by the referral creation facility 166 whenever a referral concerning a particular problem/need is referred to the
25 user;
- c. Customizing a list of diagnostic questions to be presented by the referral creation facility 166 to a referrer as a referral relating to a particular problem/need is being created by the referrer;
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- d. Customizing an urgency level to be automatically associated with a particular referral problem/need by the referral creation

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facility **166**, possibly based on answers to the aforesaid diagnostic questions;

5 e. Customizing automatic waitlist prioritization rules for classifying an incoming collection into a custom waitlist priority associated with this user based on the referral problem/need;

10 f. Customizing the procedures that must have been performed, or the information that must have been gathered, by a referrer before a referral for a particular problem or procedure will be accepted by this user; for example, a consulting physician could customize the medical tests required in order to accept a referral to treat a specific disease. Moreover, the medical tests required may vary based on responses to the diagnostic questions.
15 These customized requirements may be used as a validation criterion by the referral creation facility **166** when an attempt is made to send a referral to this user;

20 g. Changing the user's status in the system **100**, for example, designating that the user is out of the office, is not accepting new referrals, or is not accepting any referrals; and

25 h. Creating or changing a report template (e.g., a default form to be used for consultation letters upon completion of a referral).

Exemplary Transactions

Referring back to Figure 1, generally, the system **100** facilitates systematic and ongoing sharing of referral information between a referrer **116** and referee **118** by providing a workflow of predefined interactions between the parties **116**, **118**
30 through the server **102**. Advantageously, the system **100** provides notifications to one party to a referral, of predefined transactions made in respect of the referral by another party to the referral. An overview of exemplary transactions

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of one embodiment will now be provided with particular reference to Figures **22A**, **22B** and **23**.

Figure **23** provides a high-level simplified communication flow diagram of several common interactions in this embodiment between the referrer **116** and referee **118**, as shown generally at **534**. Actions **536** or events associated with the referring physician are shown generally at **538**, whereas actions **540** or events associated with the consulting physician are shown generally at **542**. Communication signals and actions taken by the server (**102**) are represented by the middle section of Figure **23**, shown generally at **544**.

Figures **22A** and **22B** provide a low-level flowchart illustrating an exemplary series of transactions between a client computer and the server (**102**) as shown generally at **546**. The left hand column **548** represents actions taken by a client computer (**104** or **106**) while interacting over a network with the server (**102**), which is represented in the right hand column, shown generally at **550**. Specific signals exchanged between the client computer (**104**, **106**) and the server (**102**) are represented in the middle column, shown generally at **552**.

Referring to Figures **22A** and **22B**, the referrer (**116**) and referee (**118**) must be pre-authorized to establish a session with the server (**102**) to use the system (**100**). A session with the server (**102**) begins when a user at a client computer (**104** or **106**) enters information into a login screen, as shown in block **554**. In particular, the user enters a user key **556** associated with the user. The user key may be a user name and/or password. The user key **556** is received by the authentication facility (**164**) of the server (**102**), which ascertains whether the user key received corresponds to an authorized user of the system (**100**). If yes, at block **558**, the authentication facility (**164**) identifies the client computer (**104**, **106**) as being associated with a user identifier associated with the user key **556** and representing the user. At blocks **559** and **560**, the server (**102**) causes a summary associated with the user to be presented at the client computer (**104**, **106**) associated with the

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user. The summary may be as shown in Figure 3, for example. Moreover, the user is presented with a choice of selectable predefined criteria for filtering the database (130), the criteria being established based on the user identifier and selection of buttons 184, 186, 200, 356, 358, etc., for example.

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Referring to Figure 23, block 562 illustrates the referrer 116 sending (or replying) to a message to (or from) the referee 118 using the "Send Message" menu option (196 in Figure 3). When the message is sent, the server (102) will provide the referee 118 with a notification that a message was received, as shown at 564. The notification may involve the message appearing in a message inbox (i.e., "Incoming Messages") of the recipient as shown in Figure 19. When the referee 118 reads the message 566, the server (102) sends a notification to the referrer 116 as shown at block 568. This notification may involve the message being identified to the referrer as having been read (e.g., by being displayed with distinguishing indicia), based on a modification flag (as indicated by the ToStatusChanged and FromStatusChanged fields in Table 12) of a corresponding instance of a message data structure (e.g., message record) having been set. The above-described messaging steps also work analogously in the opposite direction as shown by blocks 570, 572, 574 and 576.

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Block 578 in Figure 23 illustrates the referee 116 invoking the referral creation facility (166) through button 182 in Figure 3, to send a new referral to the referee 118. When the referrer 116 sends the new referral, this causes the server (102) to create a new collection (274), including a new referral record (276), and to add it to the database (130), as shown at block 580 in Figure 23. As shown at block 582, the server (102) provides a notification to the referee 118 that a new referral has been received. As shown at block 584, when the referee 118 reads or modifies the referral, the referral collection 274 is updated accordingly as shown at block 583, and the referrer 116 is provided with notification of the update as shown at block 588. Similarly, the referrer 116 may modify the referral collection 274 as shown at block 590, causing the

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server (102) to update it accordingly as shown at block 586 and to notify the referee 118 of this modification as shown at block 592.

Referring to Figures 22A and 22B, creation of a referral is shown in greater detail. To create a referral, as shown at 594 and 548, actuation of the Make Referral button 182 in Figure 3 sends a signal from the referrer computer 104 to the server 102 indicating that the referral creation facility (166) should be invoked. The referral creation facility (166) causes a user interface for referral creation to be presented to the referrer 116 at the referrer computer 104 to solicit responses from the referrer (as shown at 596, 598). The user interface for referral creation is represented by the dynamic web pages shown in Figures 4, 5, 6, 7, 8, 9, 10, 11 & 12, for example. In general, the referrer 116 enters or selects referral information pertaining to a referral in the user interface as shown at 599. Several iterations of data entry and interaction with the server 102 may be necessary as shown at 600. Specifically, several sets of data may be transmitted from the client computer 104 to the server 102. In turn, the server 102 may transmit one or more questions to be answered by the referrer 116 at the client computer 104, and the referrer's responses may be transmitted back to the server 102. The referrer 116 may also upload files to the server 102. The server 102 may test some of the data against validation criteria, and subsequent data or questions transmitted back to the referrer computer 104 may depend on whether the validation criteria were satisfied. Once the user is satisfied that all necessary data has been transmitted to the server 102, the user actuates the submit referral button 272 in Figure 13 to issue a create referral command, as shown at 602, which is transmitted to the server 102. Additional validation criteria may be applied at this stage as shown at 604. If the validation criteria are not satisfied, the server 102 may generate warning notifications as shown at 606, 608 or may refuse to accept the referral. Otherwise, the referral creation facility (166) causes the information pertaining to the referral to be stored in the database (130) as a collection 274 of linked information units. In this embodiment, the referral status flag (314 in Figure 14) is set to indicate that the collection (274)

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is as yet unread by the referee **118**. The referral creation facility (**166**) may also cause instructions to be displayed to the referrer **116** for the referrer or for the patient, as shown at **606**, **608**.

5 Assuming the referee **118** also establishes a session from the referee computer **106**, the referee will be notified in a user summary screen (e.g., as shown at **559** and in Figure 3), that there is an additional new referral addressed to him or her. The referee **118** may then invoke a predefined filter criterion for identifying new referrals as shown at **610**, which will cause the
10 filter (**160**) to identify unread collections (**274**) in the database (**130**) which are addressed to the referee **118**, as shown at **612**. The server **102** will then display identifications of collections satisfying the filter criteria, to the referee **118**, as shown at **614**. If the referee **118** selects the identification of the aforesaid collection (**274**) created by the referrer **116**, a selection signal is
15 transmitted as shown at **616** to the server **102**, whereupon the information display facility (**167**) causes display of information units from the selected collection at the referee computer **106**, as shown at **618**, **620**. At the same time, the information display facility (**167**) updates the referral status flag (**314** in Figure 14) and activity log (**330**), to indicate that the selected referral was
20 viewed from the referee computer **106**.

The referee **118** may choose to respond to the new referral by setting an appointment date, for example. To accomplish this, the referee **118** uses the information modification facility (**168**) to modify an appointment status of the
25 selected collection, as shown at **622**. As shown at **642**, this may involve exchanging modification information **640** with the server **102**, such as data pertaining to an appointment time. When the referee **118** issues the modification command **638**, this causes the information modification facility (**168**) to modify the collection (**274**) in accordance with the modification
30 command. Moreover, the activity log (**330**) and appropriate flags associated with the collection (**274**) are updated to record the fact of this transaction. In this embodiment, the modification command is recorded in the modification

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flag (as represented by ToStatus Changed (298) and FromStatusChanged (300) fields) of the collection (274), and the modification information may be stored in these or other fields of the collection (274). If multiple modifications are made (e.g., an appointment is set, and referral notes are added), all these modifications may be stored as part of the collection (274) by modifying the appropriate fields.

When the referrer 116 accesses the system 100 again, the user summary (e.g., Figure 3) seen by the referrer will display a notification that an additional outgoing referral was updated. The referrer 116 may then select a predefined filter option operable to identify updated outgoing referrals. As shown at 614, 616, 618 and 620, when the collection (274) at issue is identified to the referrer 116, the referrer may select its identification to cause the information display facility (167) to display the modifications made by the referee 118 in detail (e.g., an appointment was set, and the referee 118 may have also sent some specific notes with instructions). (Alternatively, some changes may be displayed as part of the identification itself.) Invoking the information display facility (167) will also update the activity log and reset the modification flag of the collection (274) to indicate that the referrer 116 is deemed to be aware of the modifications made by the referee 118 to the collection (274), and hence, of the progress of the real-life referral it represents.

Referring back to Figure 23 as shown by blocks 628 and 630, either the referrer 116 or the referee 118 may cancel a referral. When this occurs, the server (102) updates the referral collection (274), as shown at block 632, and notifies the other party, as shown at blocks 634 and 656. In greater detail, referring to Figure 22A, as shown at 622, 638, 640 and 642, the referrer 116 or referee 118 again engage in low-level interactions with the server 102. As before, a cancellation command causes a specific modification of the collection (274). In addition, in this embodiment, it involves sending a cancellation message to the other party, as shown at 642. Both the modification and the cancellation message are detectable by the other party

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by appropriately controlling **560** the filter (**160**), and may be viewed by controlling **616** the information display facility (**167**). The latter step causes the activity log (**330** in Figure **14**) and various flags of the collection (**274**) to be updated or reset. In this manner, all parties to a referral can be informed of the progress of the referral, and detailed information about all significant transactions is accumulated.

Referring back to Figure **23**, when the referee **118** reports that a referral is complete, such as by actuating the “complete” button **434** shown in Figure **16**, for example, as shown at block **644**, the referral collection (**274**) is updated accordingly, and a Referral Complete Message is sent to the referrer **116** as shown at blocks **646** and **648**. (The low-level interactions with the server **102** are similar to those described above.) After a referral has been handled, the referrer **116** and referee **118** may submit a request via the server **102** for payment as shown at blocks **650** and **652**.

While specific embodiments of the invention have been described and illustrated, such embodiments should be considered illustrative of the invention only and not as limiting the invention as construed in accordance with the accompanying claims.